



# Prosody and the brain II: Turn-taking

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# Overview

Turn-taking models

Turn-taking & non-prosodic cues

- early anticipation (EEG)

- early planning (EEG)

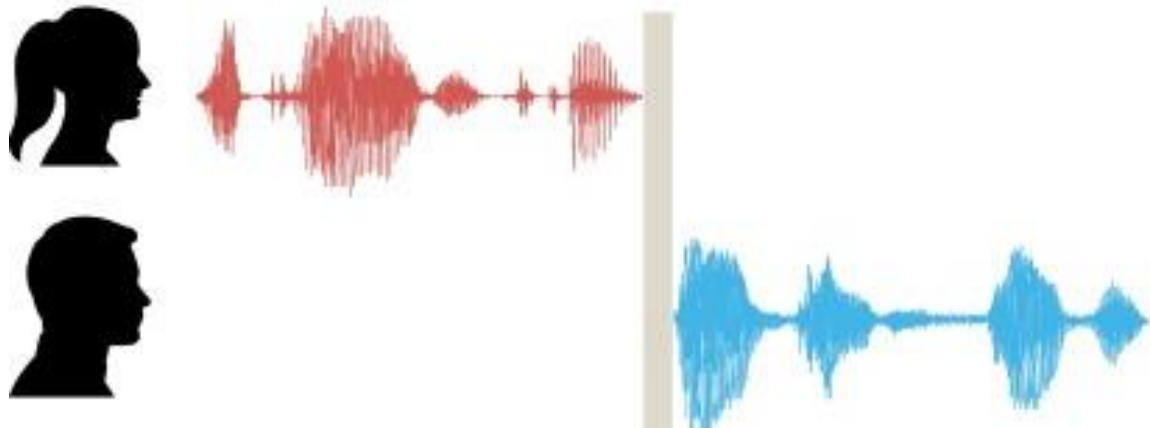
Turn-taking & final prosodic cues

- importance of final information

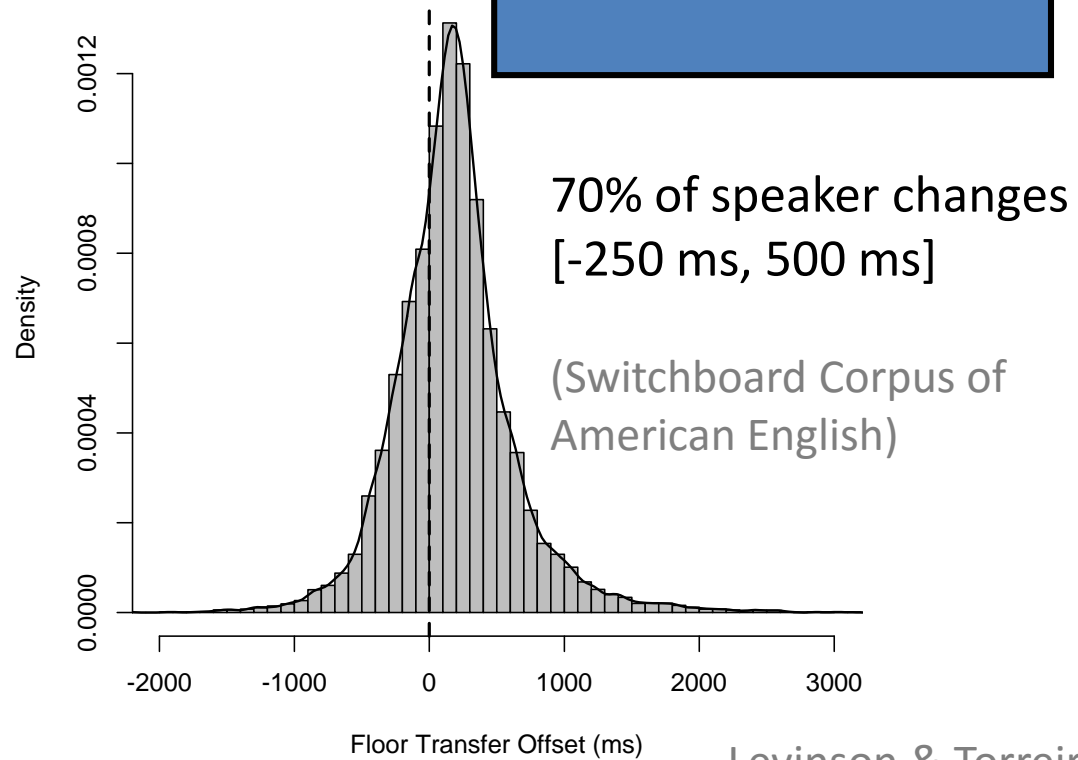
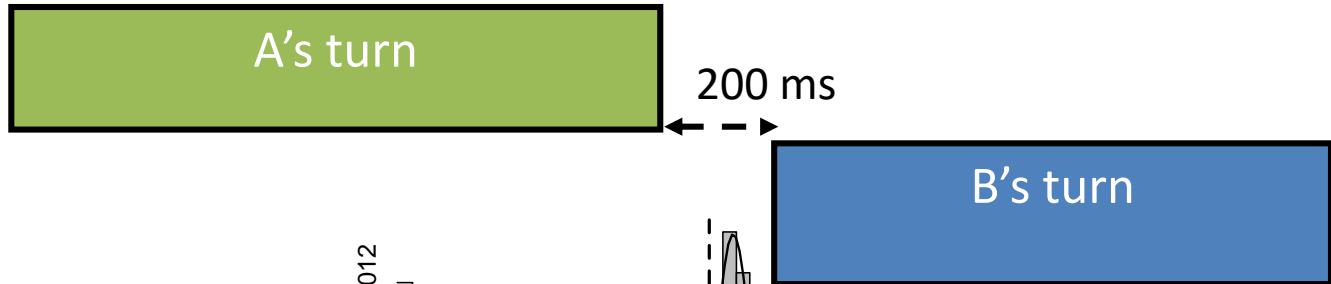
- importance of prosodic cues

Turn-taking & silence (EEG)

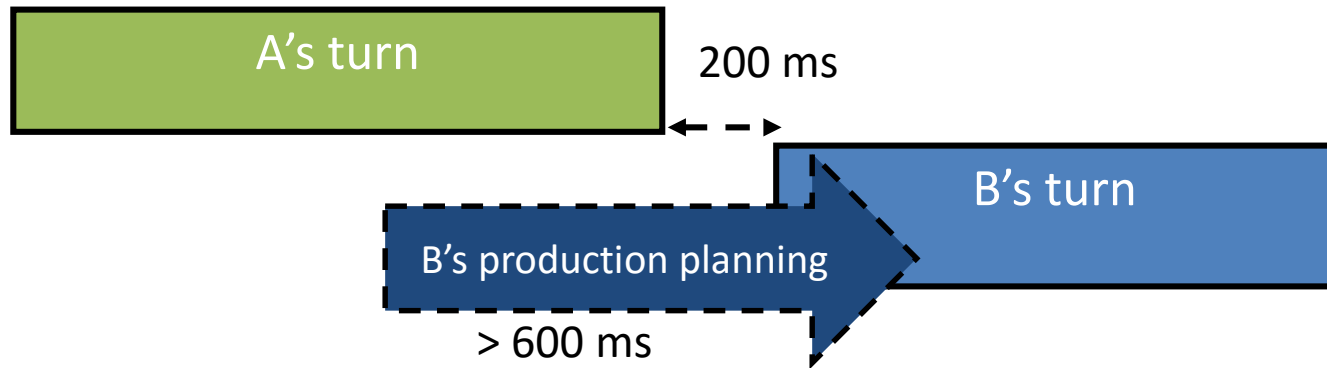
# Turn-taking models



# Turn-taking



# A psycholinguistic puzzle

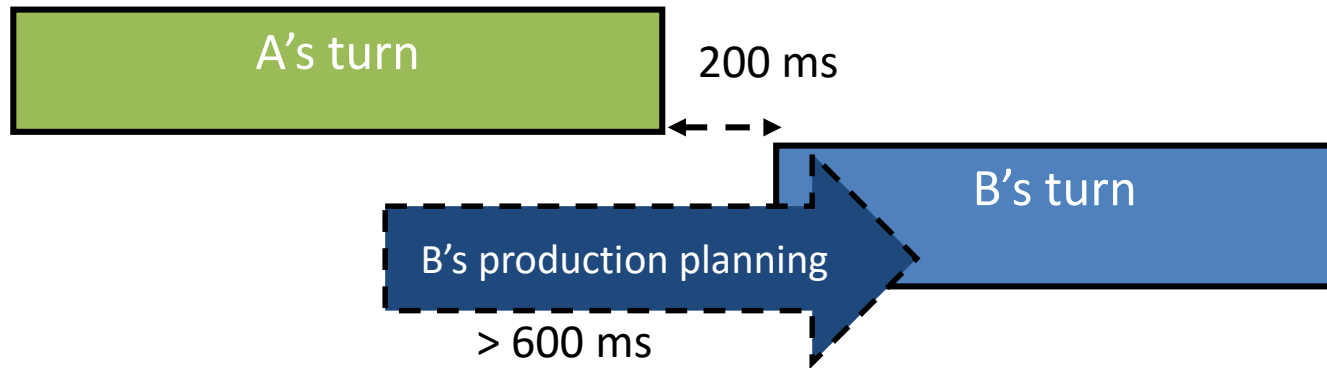


Planning and producing language takes time, e.g.:

- picture naming: 600 ms Levelt et al. (1999)
- simple sentence (SVO) production: 1500 ms Griffin & Bock (2000)

- Planning starts in overlap with the current turn
- The turn end should be estimated precisely

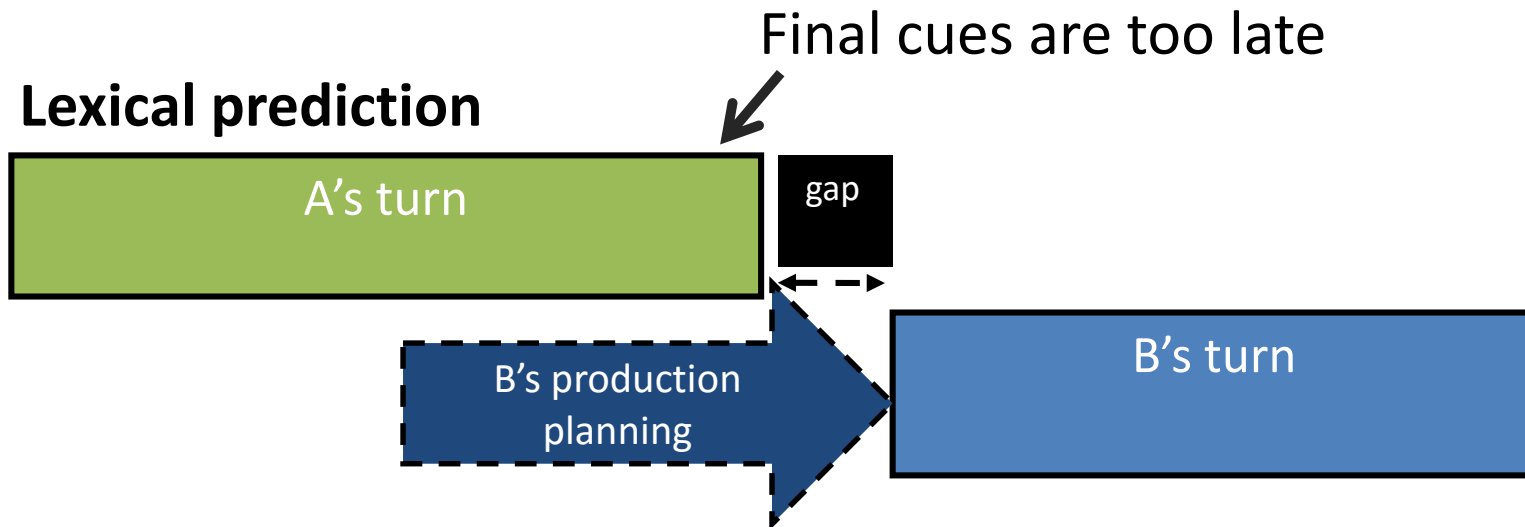
# A psycholinguistic puzzle



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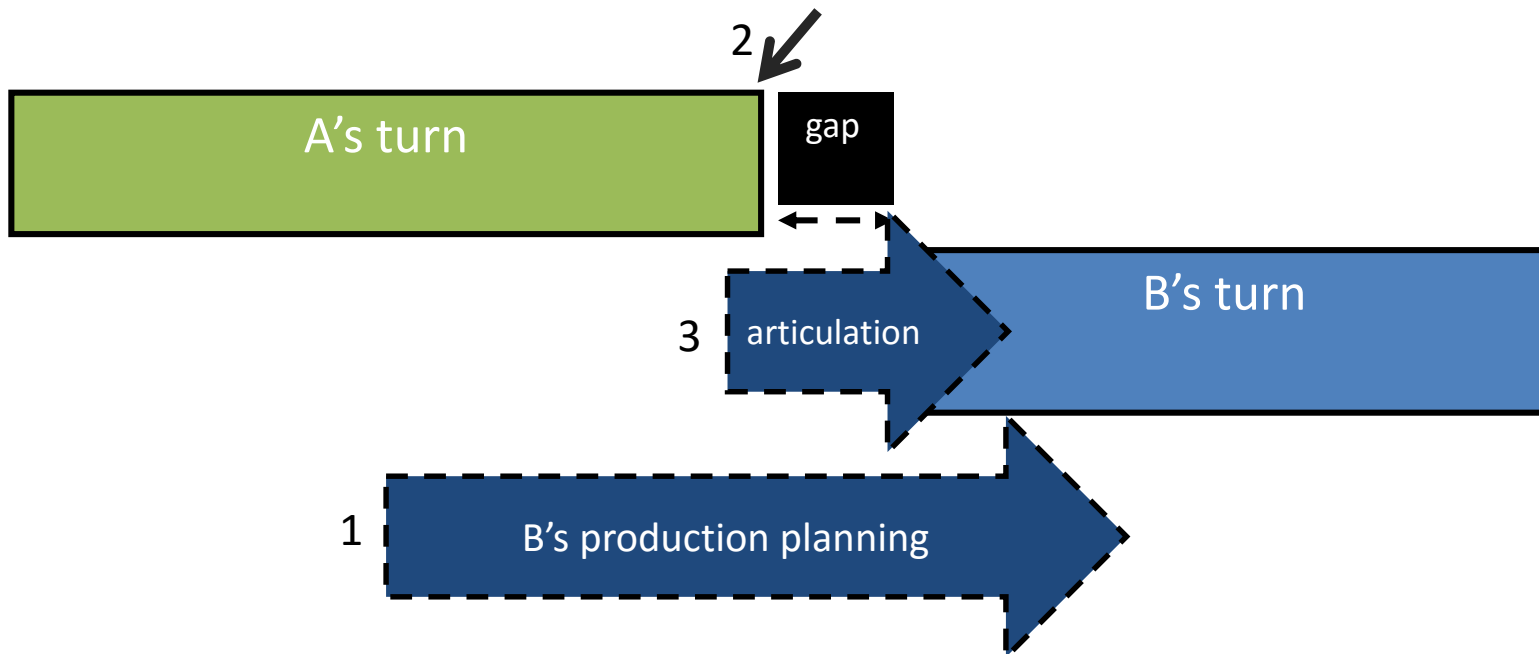
- picture naming: 600 ms Levelt et al. (1999)
- simple sentence (SVO) production: 1500 ms Griffin & Bock (2000)
- Planning starts in overlap with the current turn
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# Model 1



1. Predict words up to end of turn
2. Estimate duration of these words
3. Launch planning to start speaking around estimated turn end

# Model 2



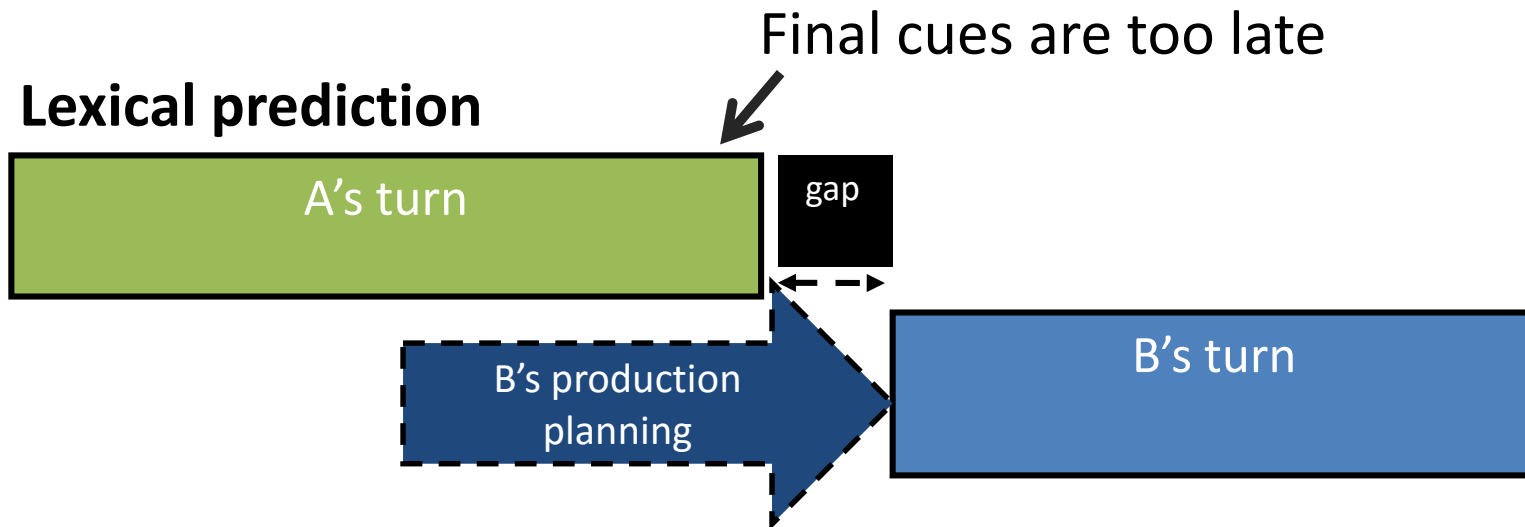
1. Early conceptual and linguistic planning
2. Identification of turn-completion
3. Launch articulation



# Turn-taking & non-prosodic effects



# Model 1: Anticipation



1. Predict words up to end of turn
2. Estimate duration of these words
3. Launch planning to start speaking around estimated turn end

# Turn-end anticipation (1)

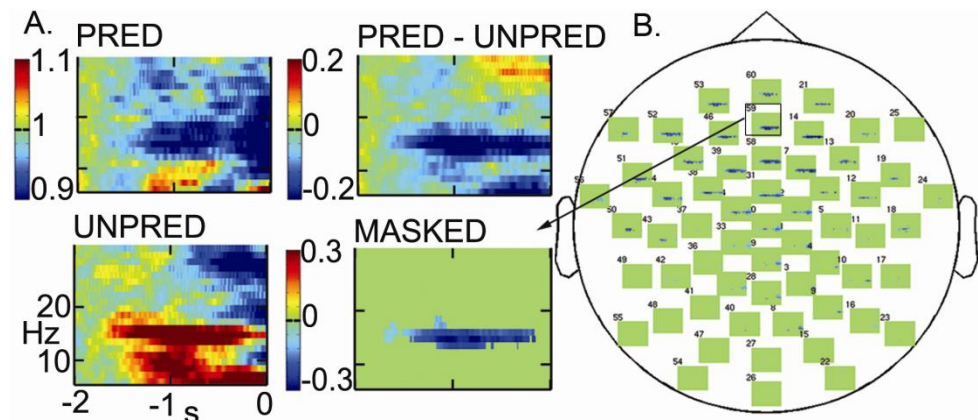
**Task:** Listen to isolated conversational turns

Press a button exactly at the moment when the turn ends

De Ruiter, Mitterer, & Enfield (2006)

Predictable & Unpredictable turns

Lower beta (11-18.5Hz) power for predictable turns  
from 1.8 s before button-press



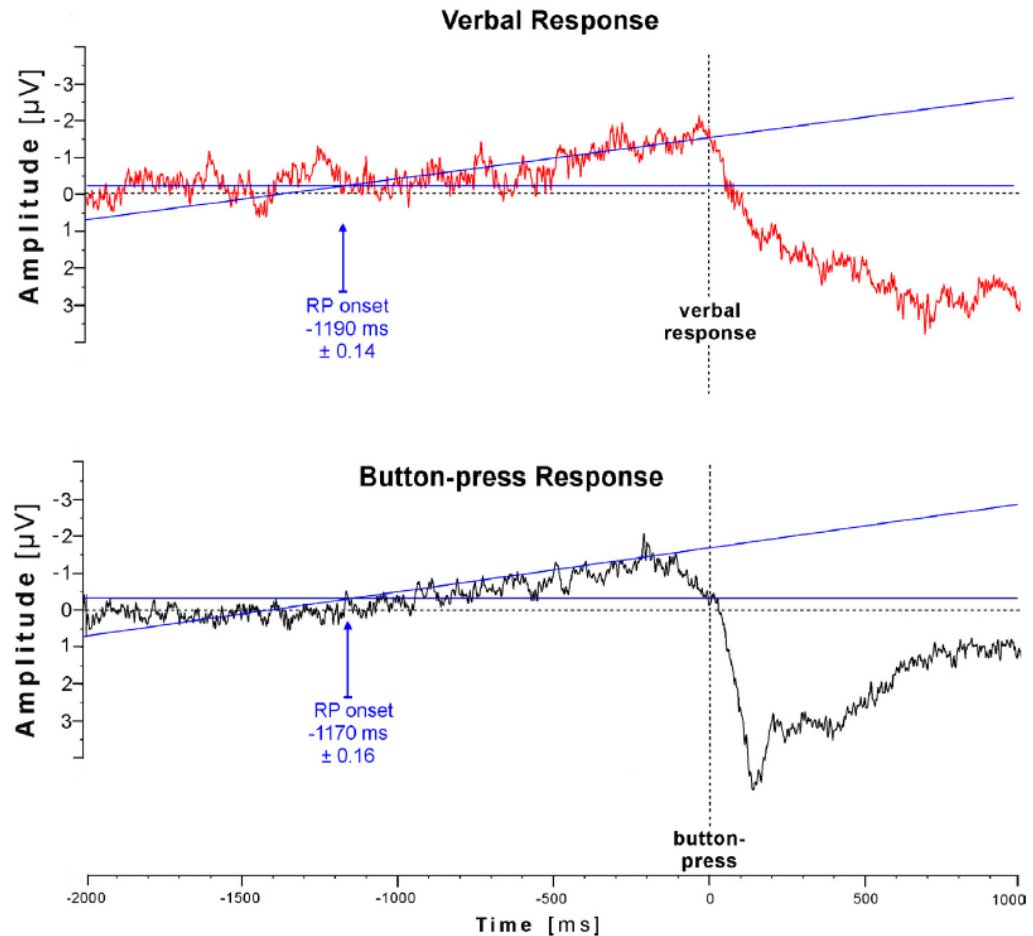
=> Reflects early anticipation of turn end

Magyari, Bastiaansen, De Ruiter, & Levinson (2014)

# Turn-end anticipation (2)

## Readiness Potential (RP)

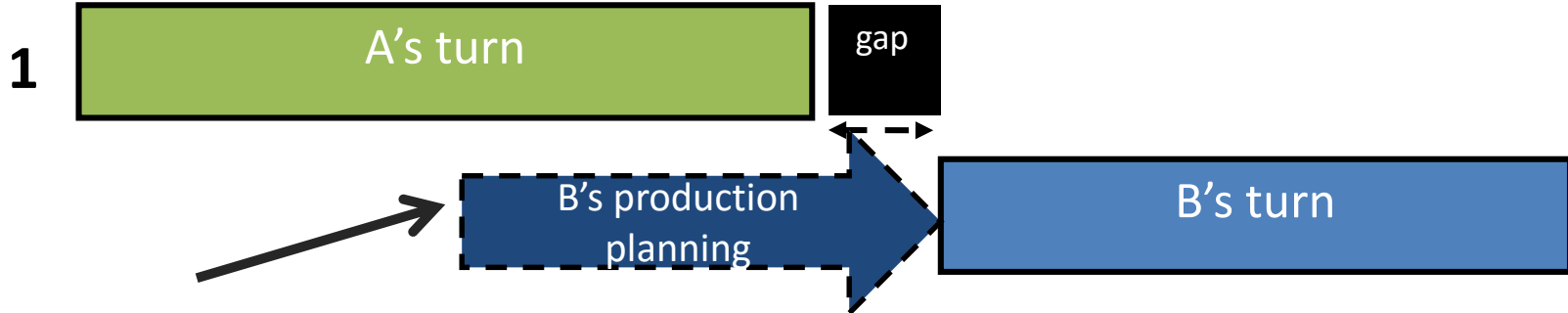
- button-press
- verbal response



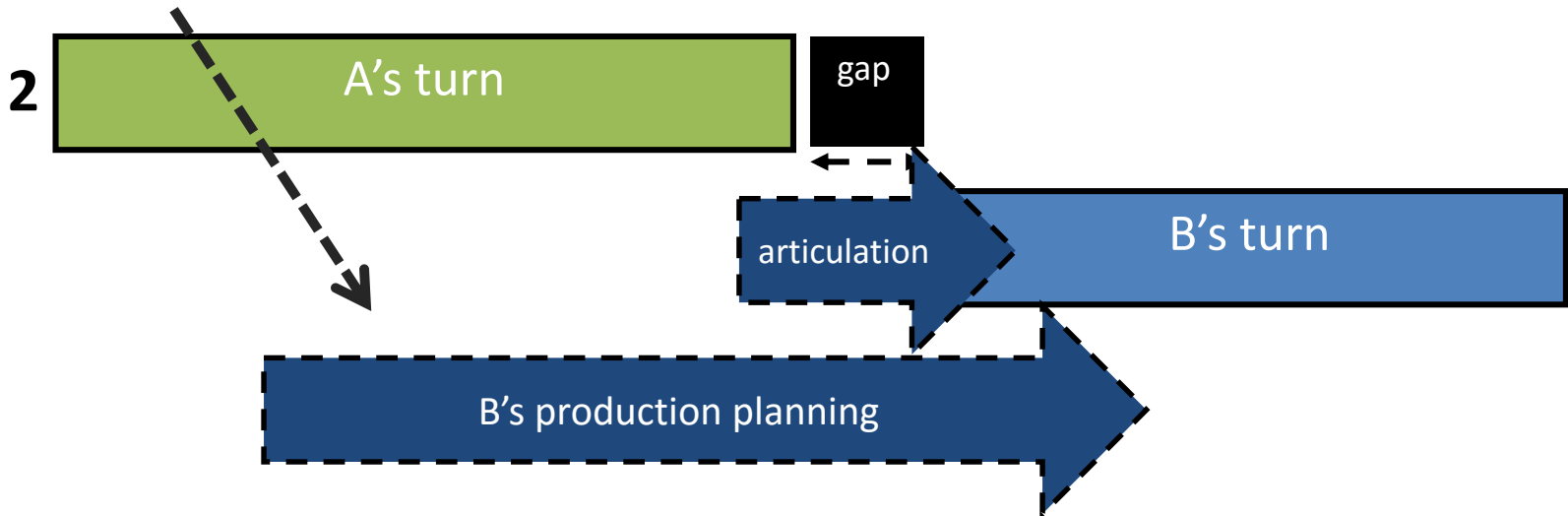
=> Reflects early anticipation of turn end

# Models

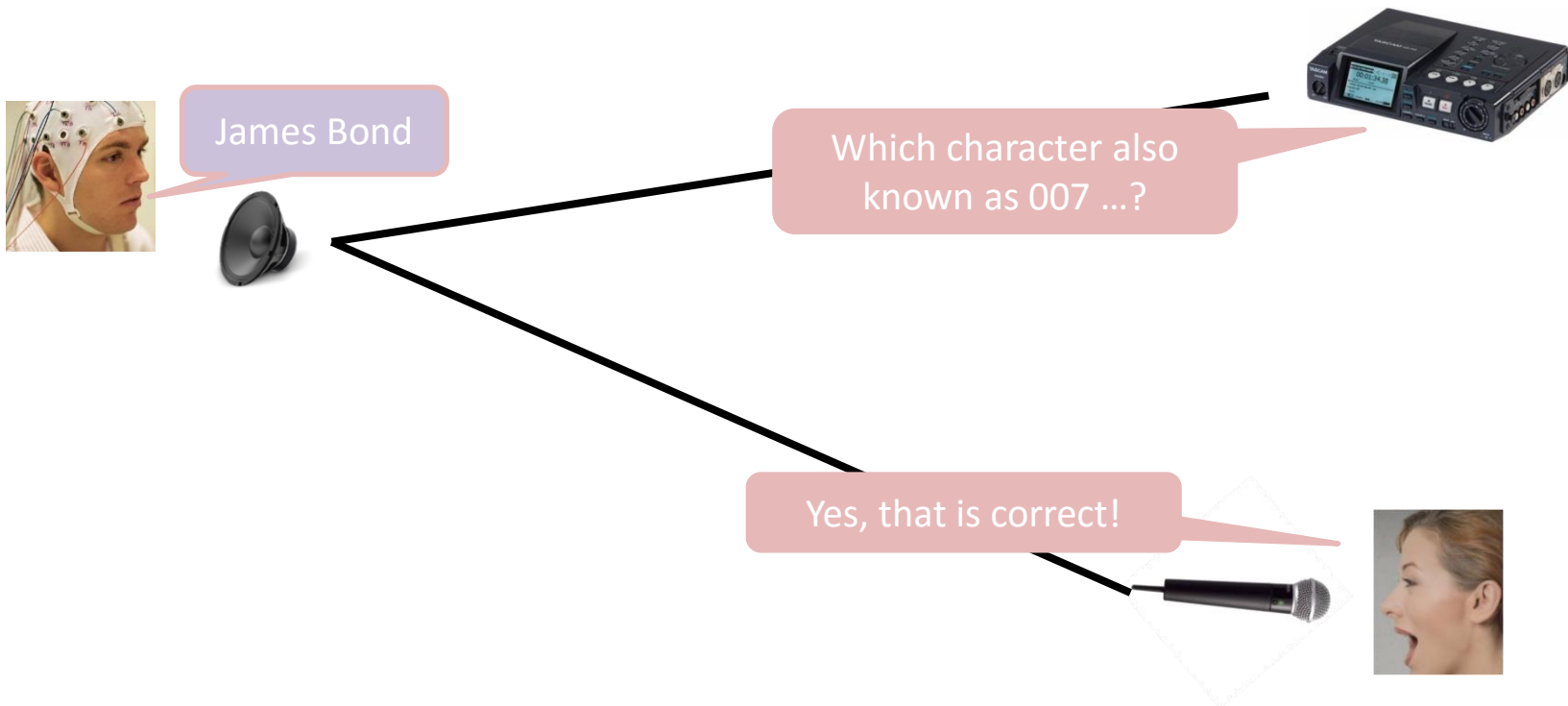
## Lexical prediction



Long-range anticipation appears possible (but what is it?)



# Response-planning



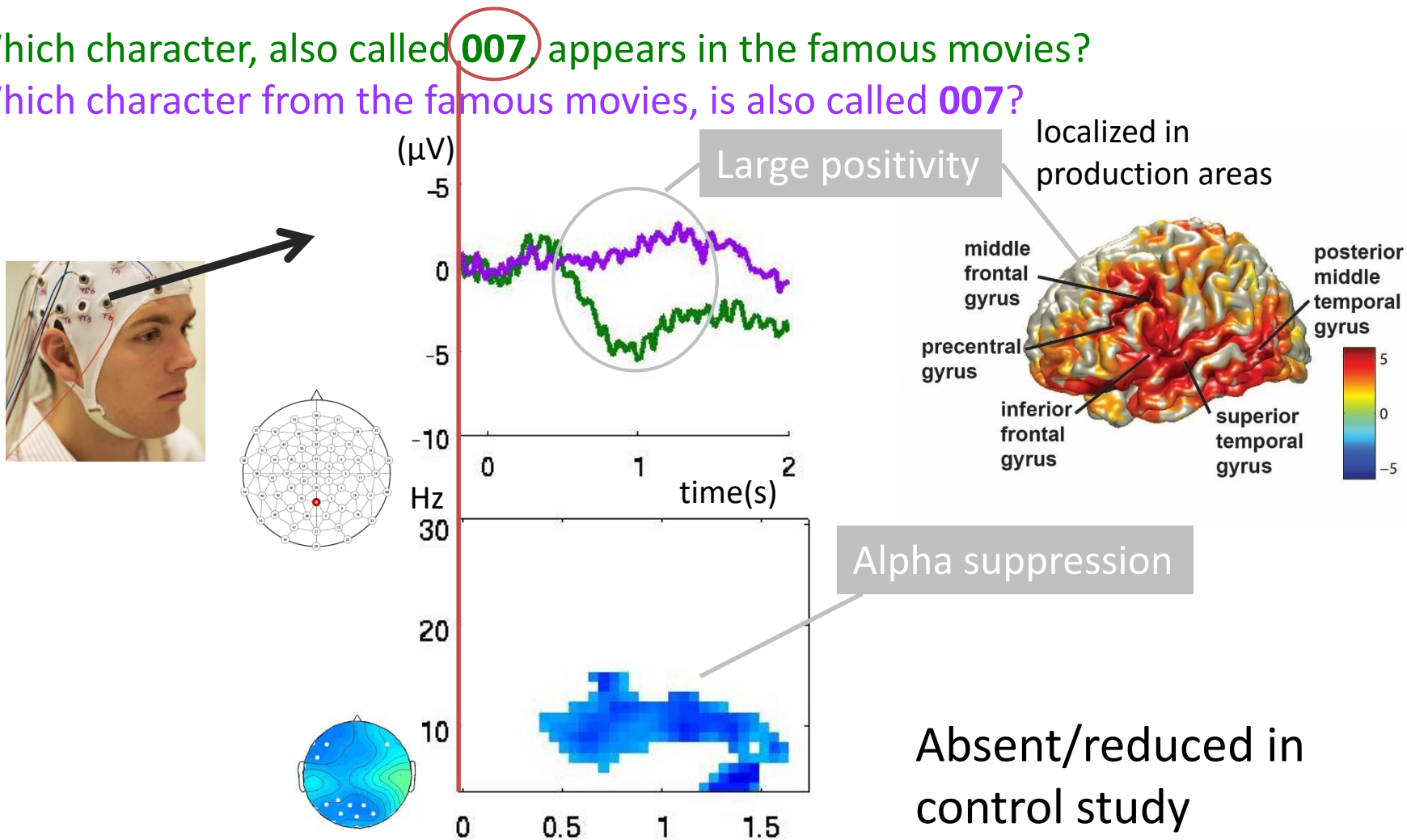
EARLY: Which character, also called **007**, appears in the famous movies?

LATE: Which character from the famous movies, is also called **007**?

# Response-planning

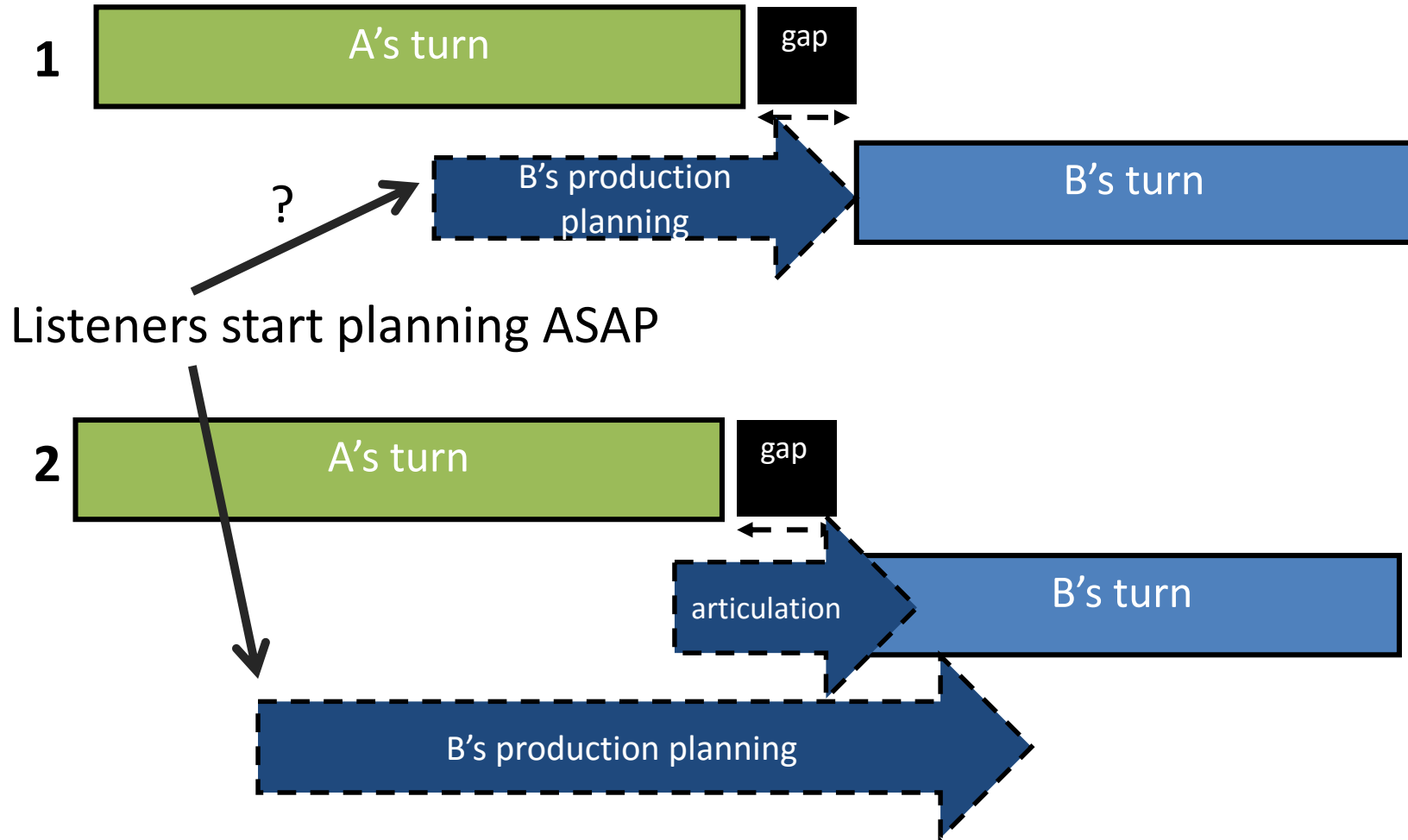
Which character, also called **007**, appears in the famous movies?

Which character from the famous movies, is also called **007**?



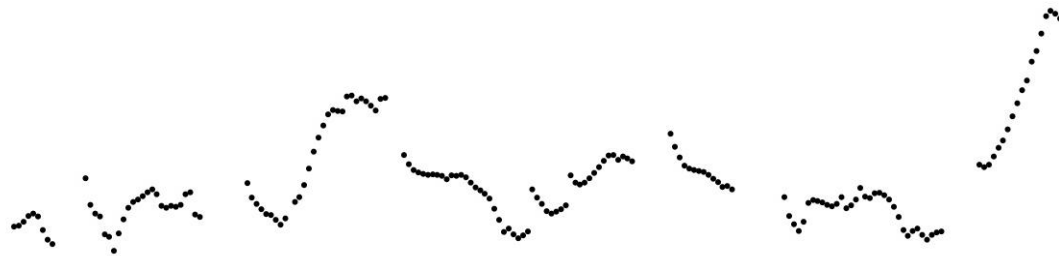
=> Listeners start planning ASAP

# Models

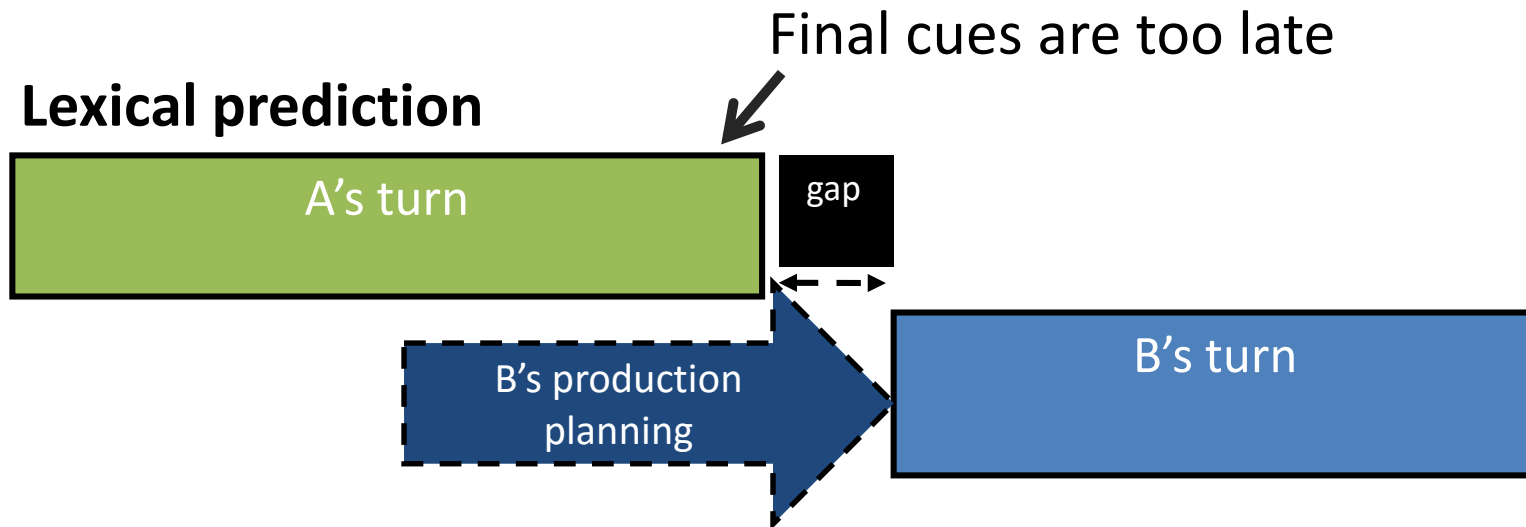




# Turn-taking & Final prosodic cues



# Are final cues too late?







How fast is reaction to turn-final cues?

# Methods

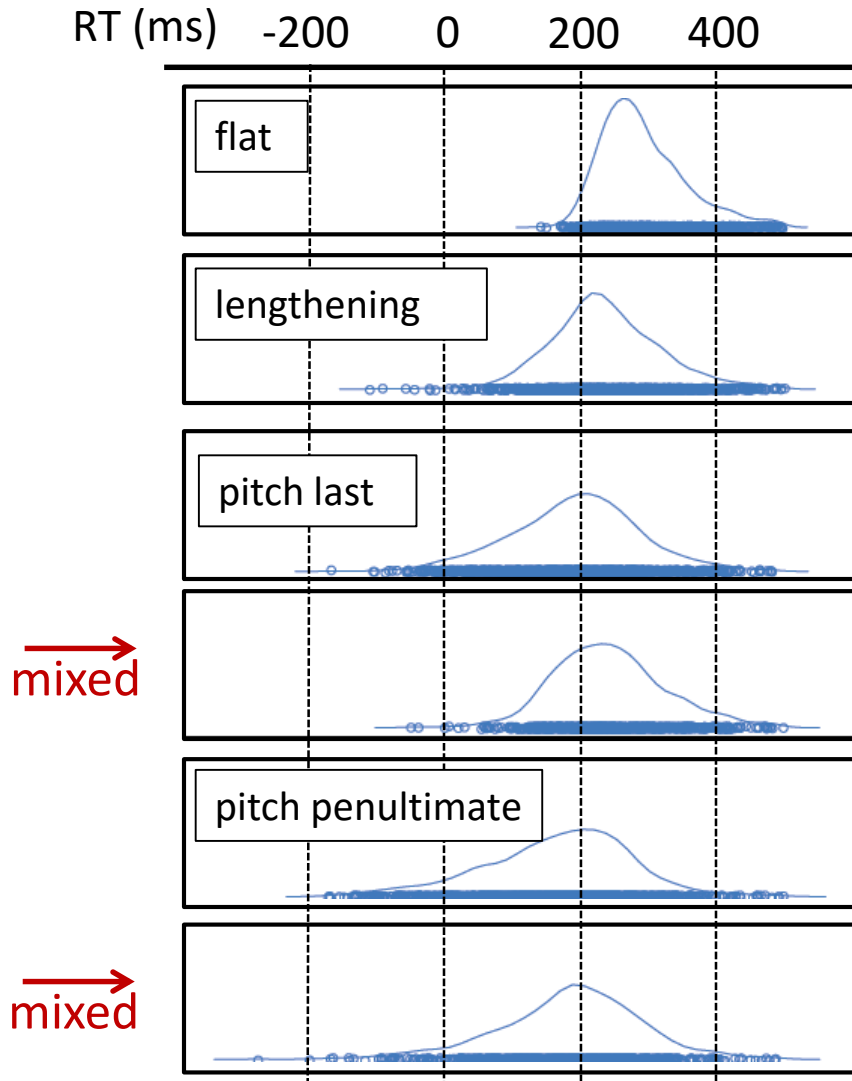
Say 'ja' in reaction to stimulus offset ASAP

Materials (blocked presentation):

Speech-like repetitive stimulus (mamama...)

- 'flat': no prosodic markers 
- lengthening on last syllable 
- pitch change on last syllable 
- pitch change on penultimate syllable 
- mixed pitch block: less predictable

# Results

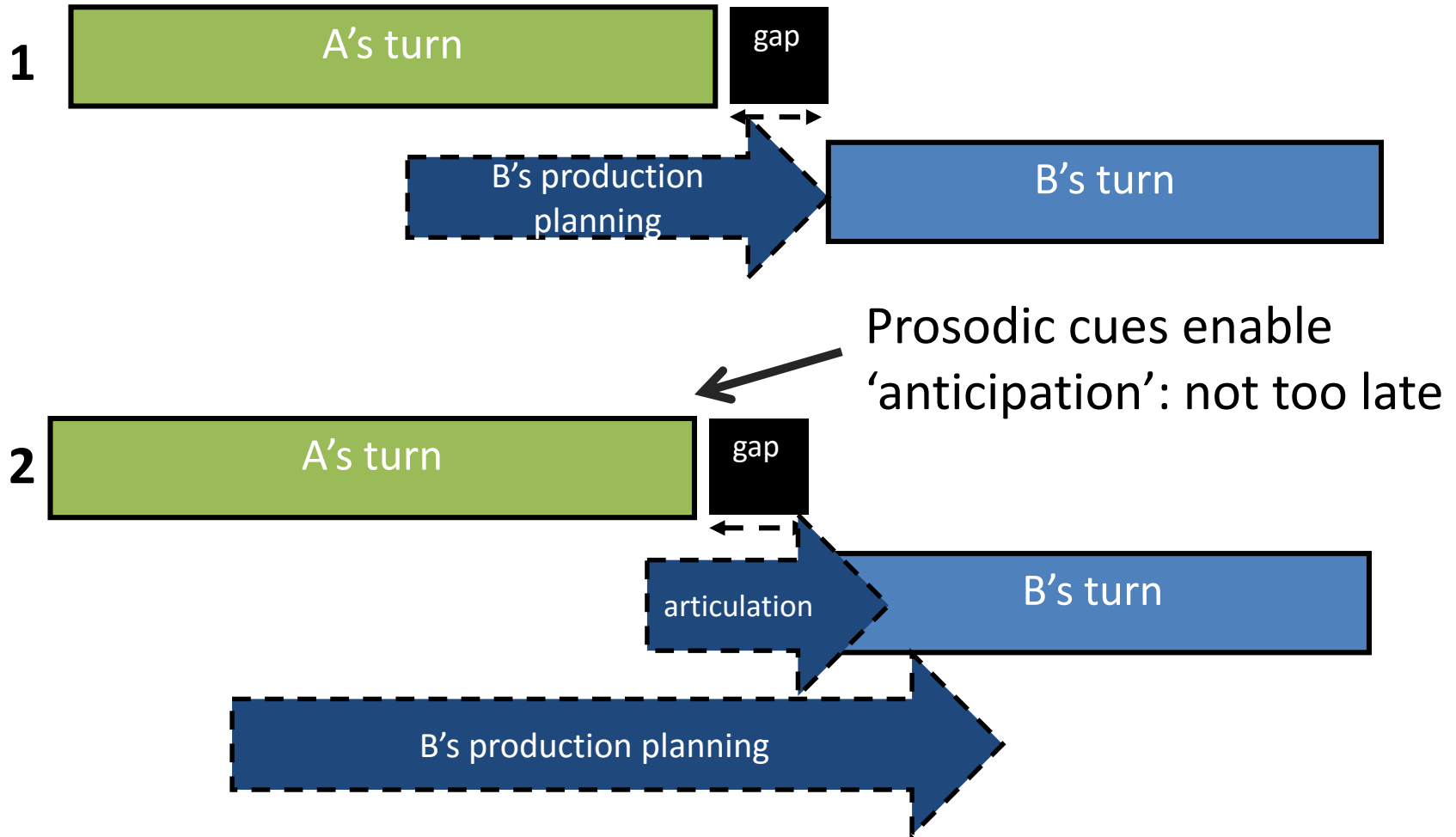


- flat: mode later than in conversation
- prosodic cues lead to faster RTs
- participants anticipate (even though asked to *react*)
- mixed block: still anticipation (less)

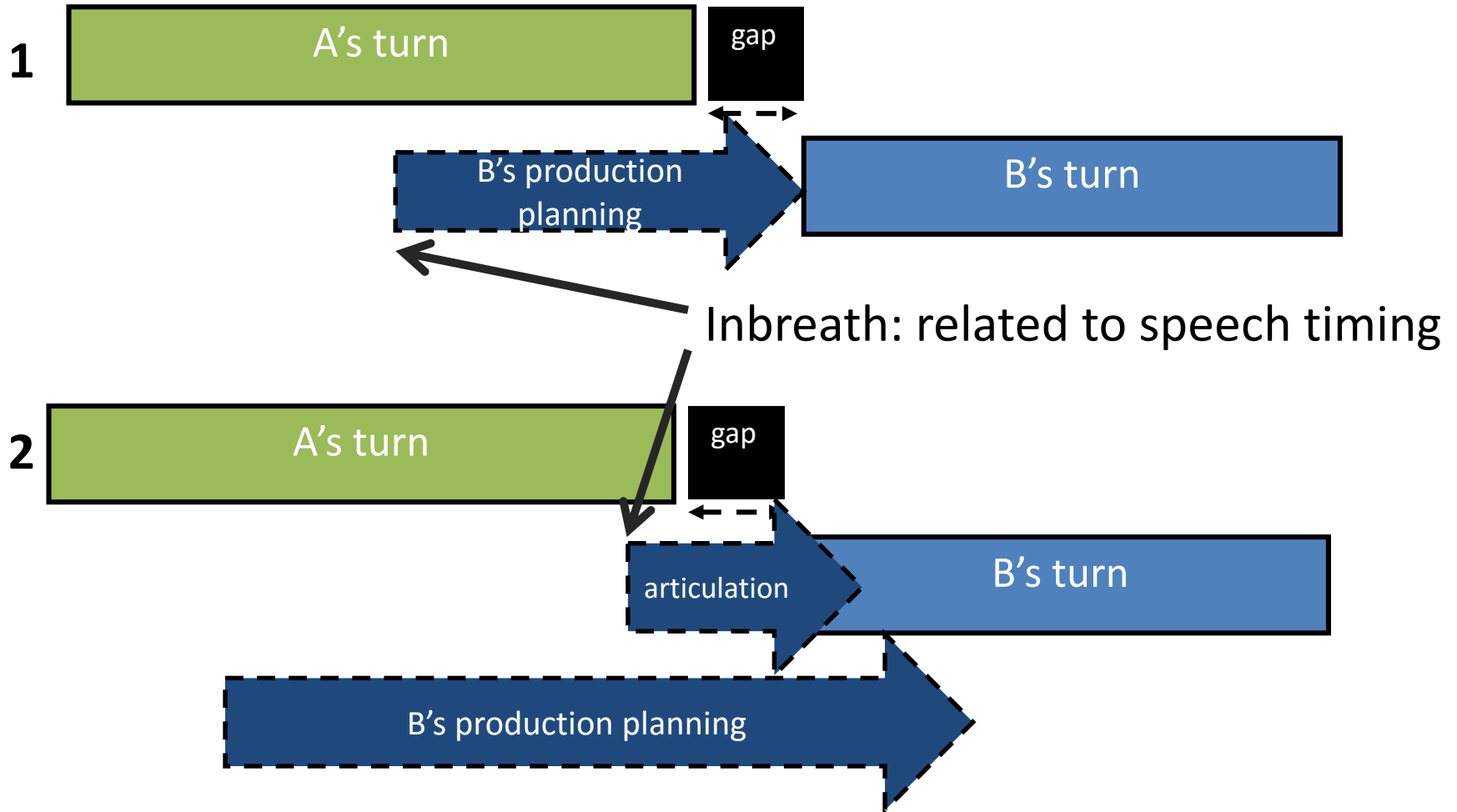
# Are final cues too late?

- Prosodic cues do not seem to occur too late: they seem to enable 'anticipation' of the end
- 'Anticipation' seems to be a default strategy if possible (even if asked to react)
- Distribution of turn transitions in conversation similar to prosodic conditions
  - If content of turn is already planned; final prosodic cues could account for distribution in conversation
- A part of turn transitions in conversation could even be a reaction to silence

# Final cues not too late



# Orientation to turn-ends? Breathing



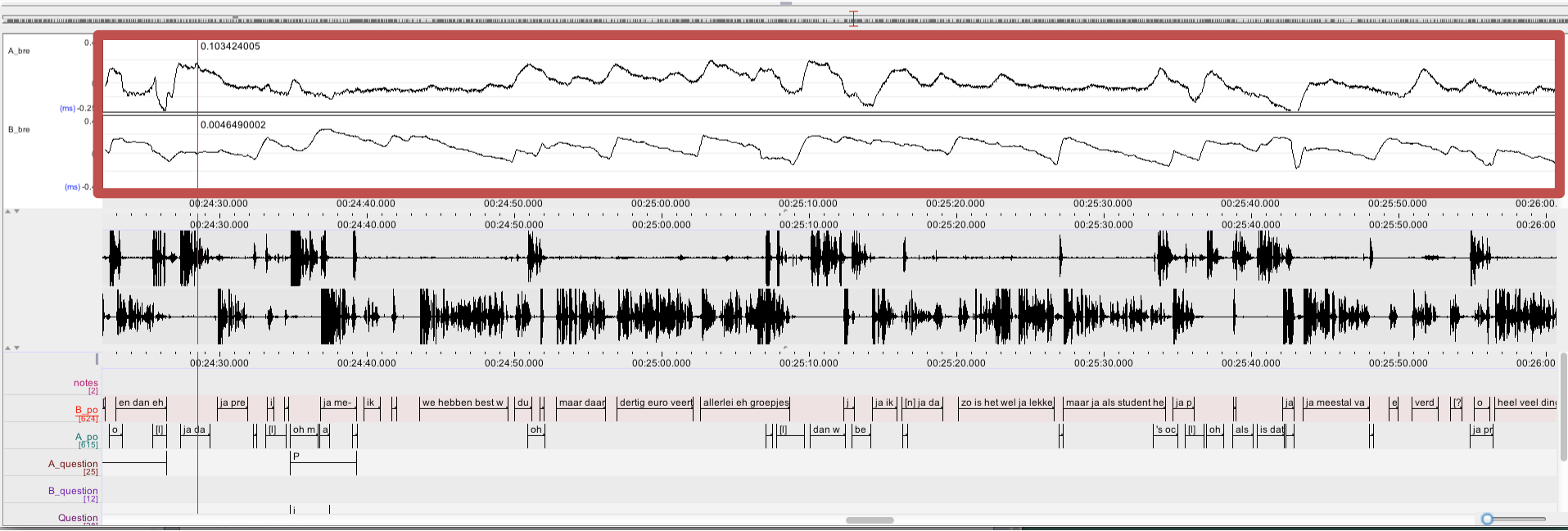
# Conversational corpus with Respirtrace inductive plethysmography





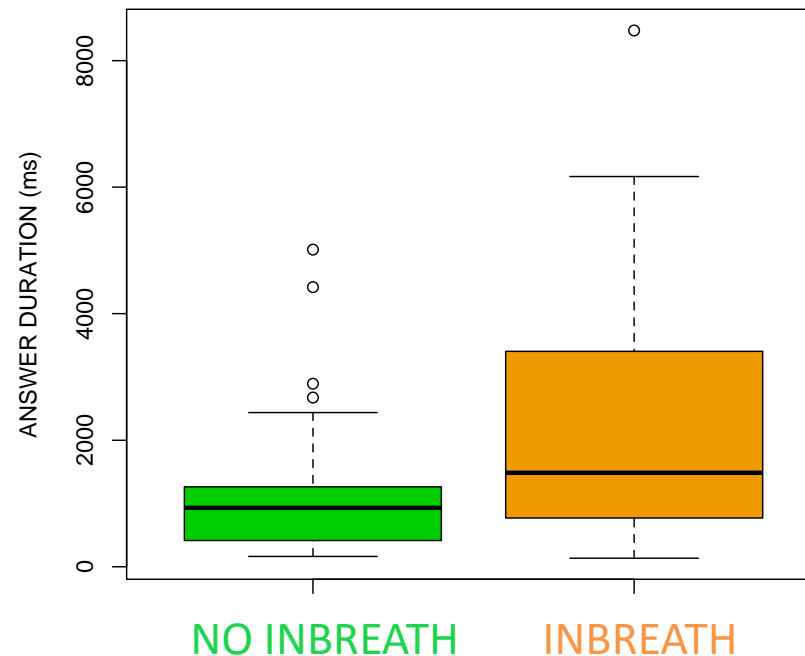


A_question						
Nr	Annotation	Begin Time	End Time	Duration		
4	P	00:06:12.070	00:06:18.160	00:00:06.090		
5	W	00:10:41.930	00:10:44.629	00:00:02.699		
6	W	00:11:08.120	00:11:16.544	00:00:08.424		
7	P	00:12:34.770	00:12:41.890	00:00:07.120		
8	P	00:13:34.990	00:13:42.950	00:00:07.960		
9	Wx	00:15:45.250	00:15:56.080	00:00:10.830		
10	W	00:16:11.700	00:16:18.500	00:00:06.800		
11	P	00:18:59.760	00:19:05.040	00:00:05.280		
12	W	00:19:33.500	00:19:38.320	00:00:04.820		
13	Px	00:22:19.110	00:22:24.480	00:00:05.370		
14	?	00:23:22.530	00:24:26.400	00:01:03.870		
15	P	00:24:34.790	00:24:39.320	00:00:04.530		
16	?	00:27:23.960	00:27:27.410	00:00:03.450		
17	Ax	00:30:22.470	00:30:27.860	00:00:05.390		
18	T	00:33:04.950	00:33:08.610	00:00:03.660		
19	P	00:33:57.395	00:33:59.715	00:00:02.320		
20	?	00:34:00.540	00:34:13.300	00:00:12.760		
21	A	00:34:39.335	00:34:56.018	00:00:16.683		
22	Px	00:36:56.090	00:37:07.670	00:00:11.580		
23	P	00:41:44.916	00:41:47.256	00:00:02.340		
24	Wx	00:44:09.900	00:44:16.920	00:00:07.020		
25	W	00:44:50.166	00:44:53.406	00:00:03.240		



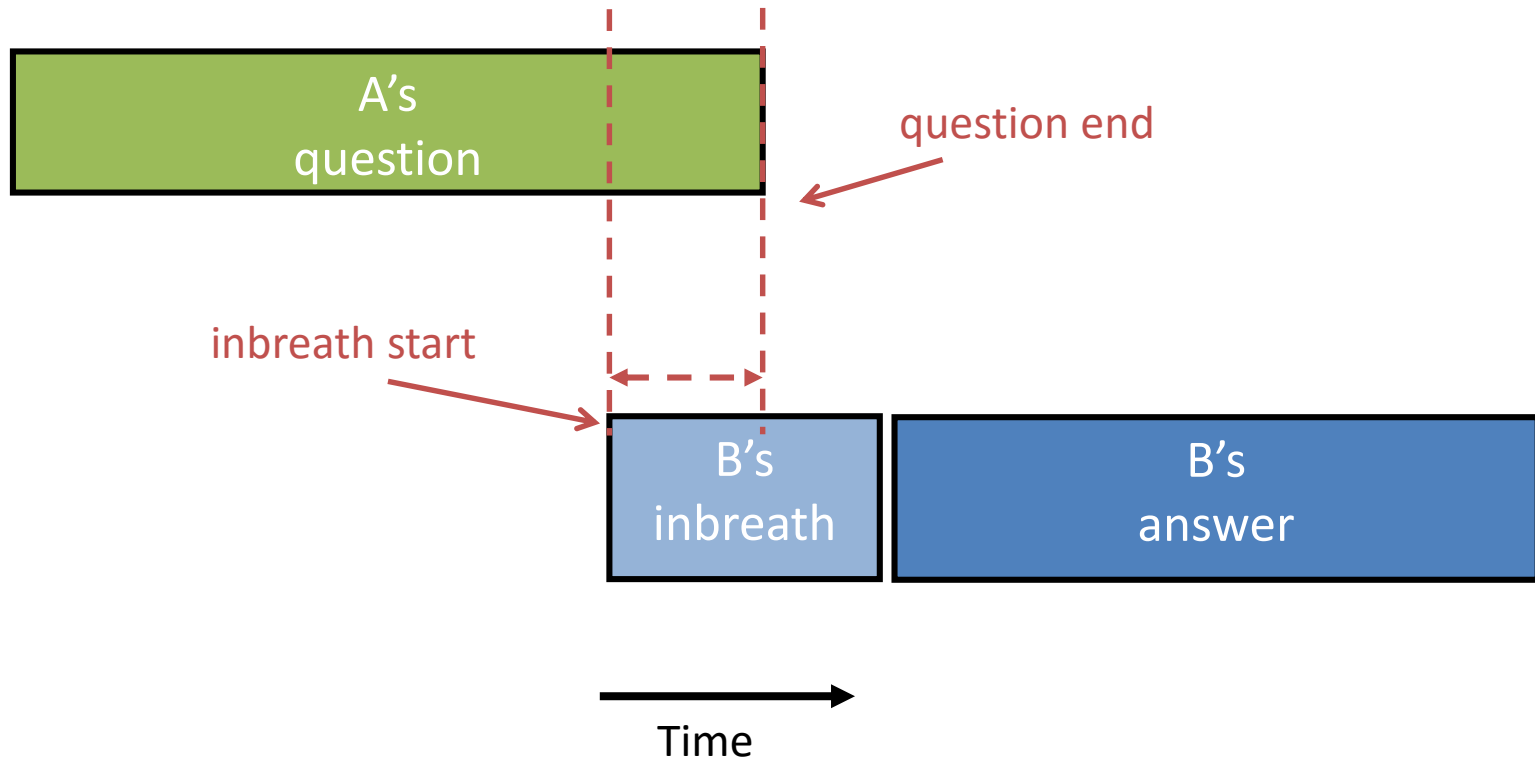
# Answer duration & inbreaths

Question-answer pairs

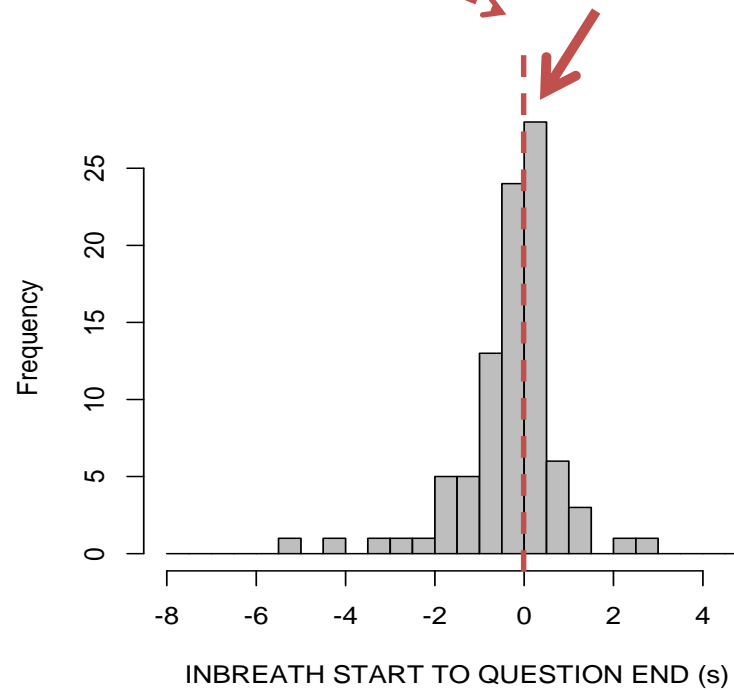
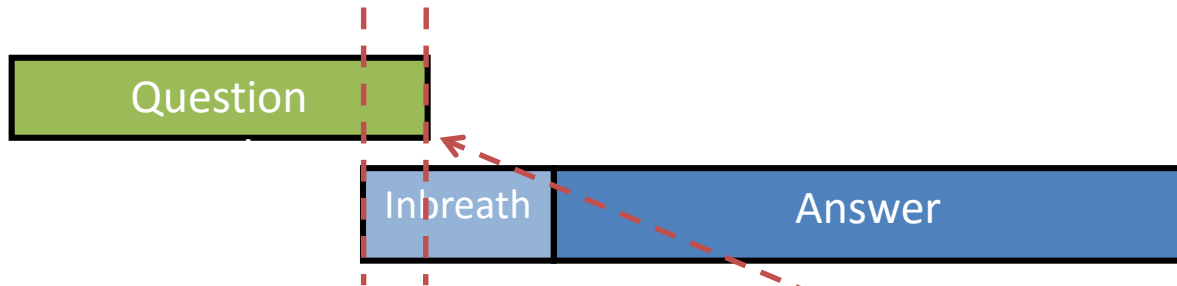


=> Inbreaths related to planning

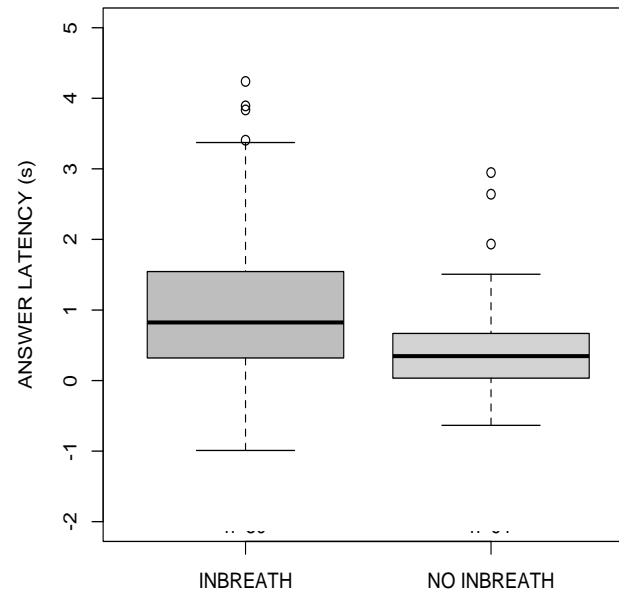
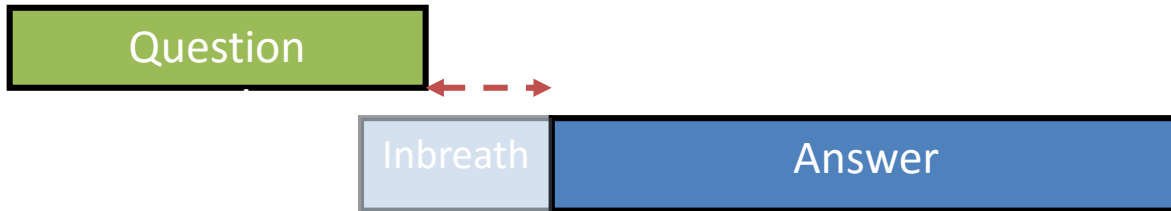
# Timing relative to question end



# Inbreath timing



# Answer timing



# Conclusions

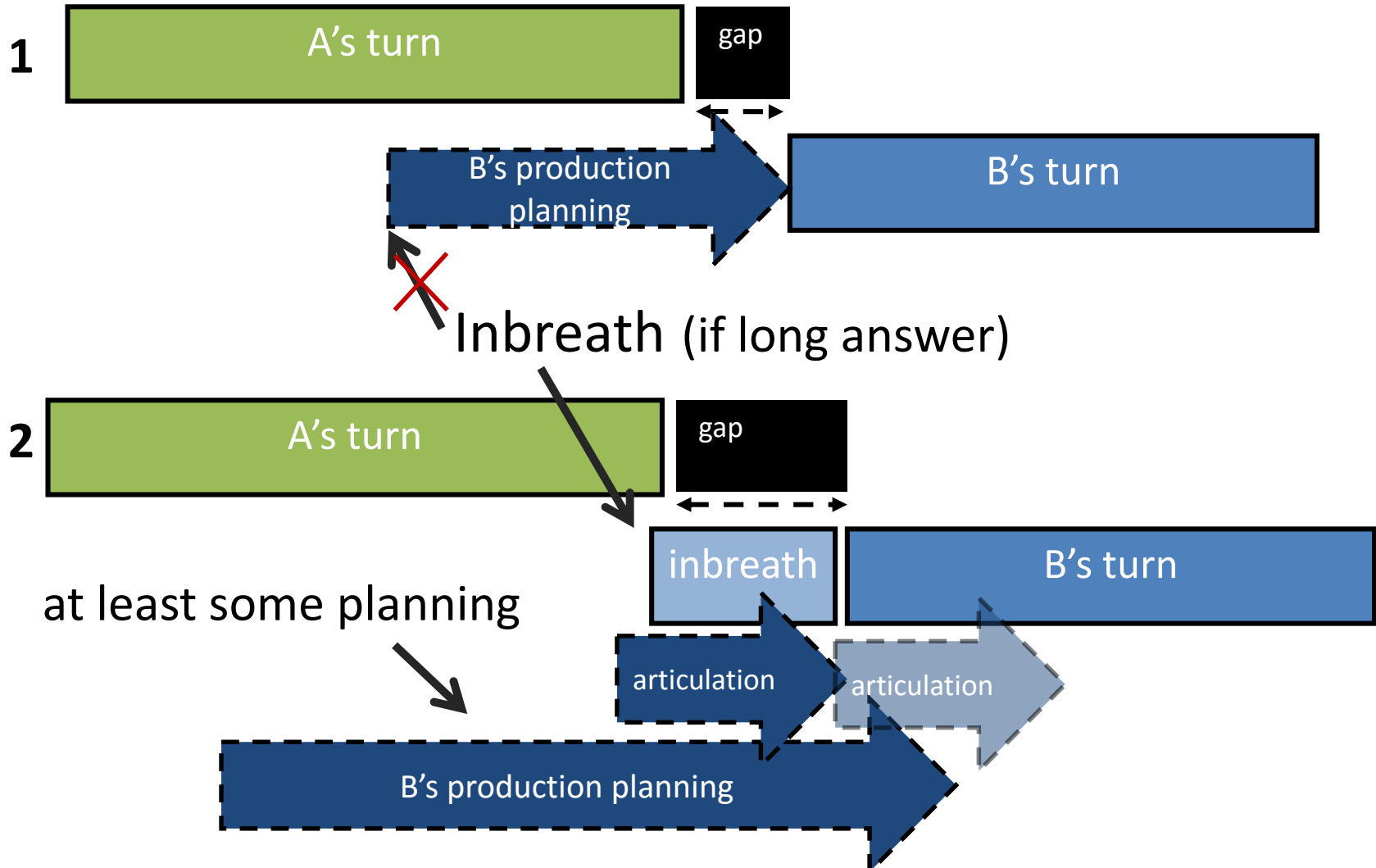
Inbreaths are more likely to occur before longer answers

- > breathing behavior can be informative about speech planning in conversation

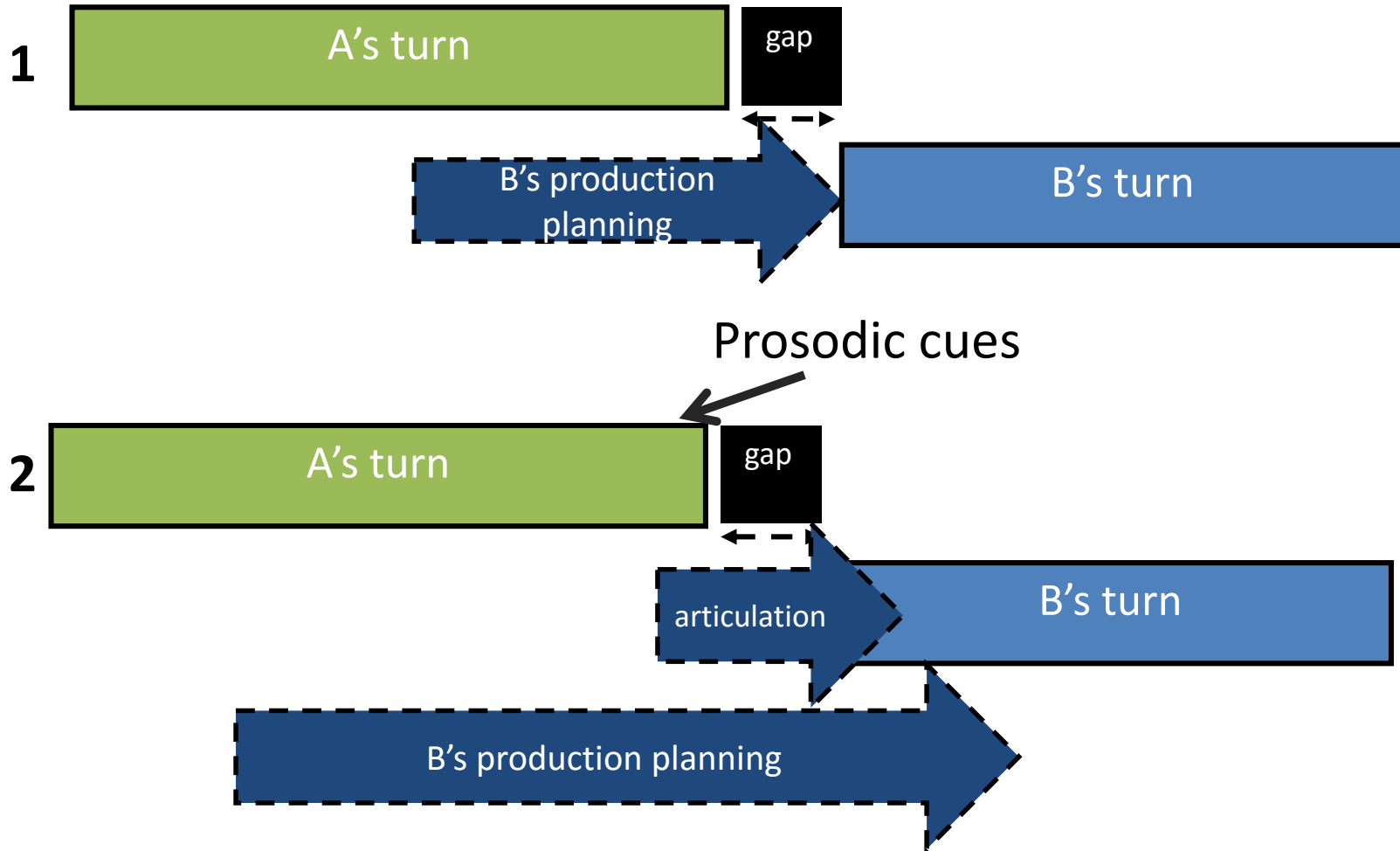
Speech inbreaths before answers appear to be timed to the end of questions

- > consistent with the idea that *articulation* is timed to turn ends (*planning* might start earlier)
- > answers with an inbreath occur later

# Listeners orient to turn-ends



# Use of final prosodic cues?





# Prosody & turn-boundaries

- Turn-boundaries coincide with:
  - Intonational completion (nuclear pitch accent, boundary tone, final lengthening)
  - Lexico-syntactic completion
- Observational studies: correlation
- Offline experiments: same as online?

Caspers (2001), Ford & Thompson (1996), Gravano & Hirschberg (2011), Hjalmarsson (2011), Local & Walker (2013), Wells & McFarlane (1998)

# Online experiment

Extraction of turns from a corpus of conversational Dutch

Button-press task:

- Participants anticipate the end of turns
- Conditions:
  - Original (no manipulation)
  - No pitch (flattened)
  - No words (low-pass filtering)

# Online experiment

## Results:

No pitch = Original

No words < Original

## Conclusions:

- Lexico-syntactic information is necessary and possibly sufficient for turn-end projection
- Intonation is neither necessary nor sufficient

But pitch  $\neq$  intonation!

Participants may have used other cues to intonational phrasing in the experiment (e.g. final lengthening)

# Prosodic cues to turn-taking

RA interviewed participants via microphones and headphones

Short and long questions from a script embedded in otherwise free interview:

e.g.,

*So you are a student?*

*So you are a student here at the Radboud University?*

Same lexico-syntax: end should be ambiguous

# Response times

- Long questions: not many overlaps and none close to syntactic completion point

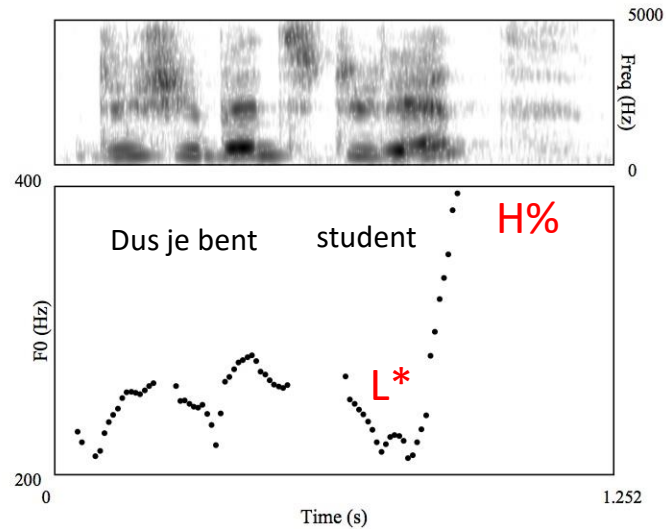
*So you are a student here at the Radboud University?*

- Short questions: close to the end; 18% gap < 200 ms (no reaction)

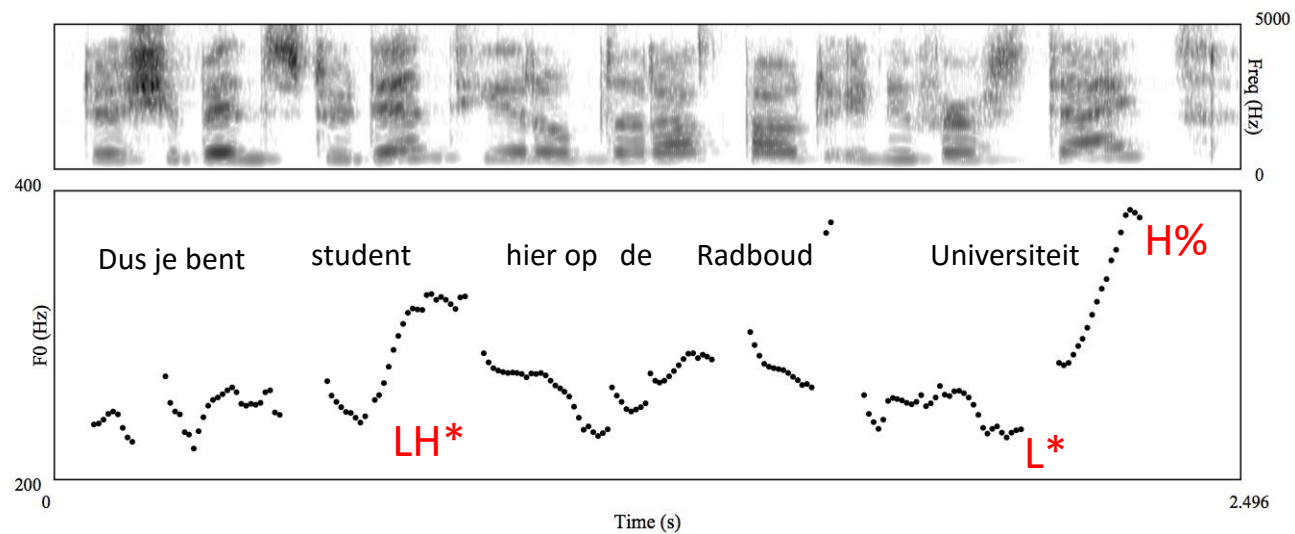
*So you are a student?*

# Acoustic measurements

SHORT

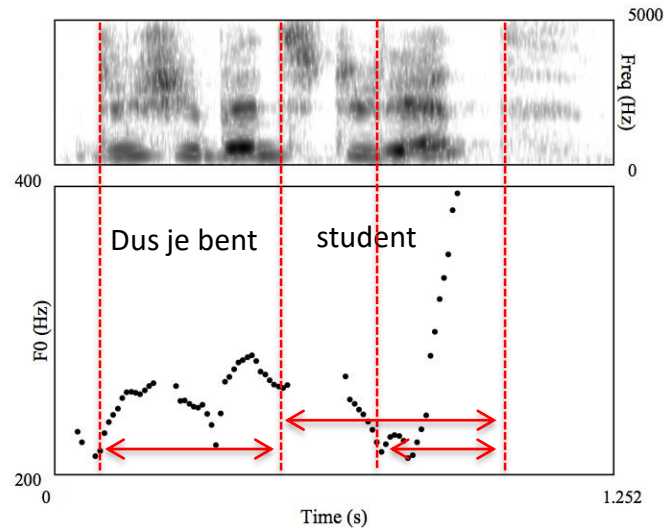


LONG



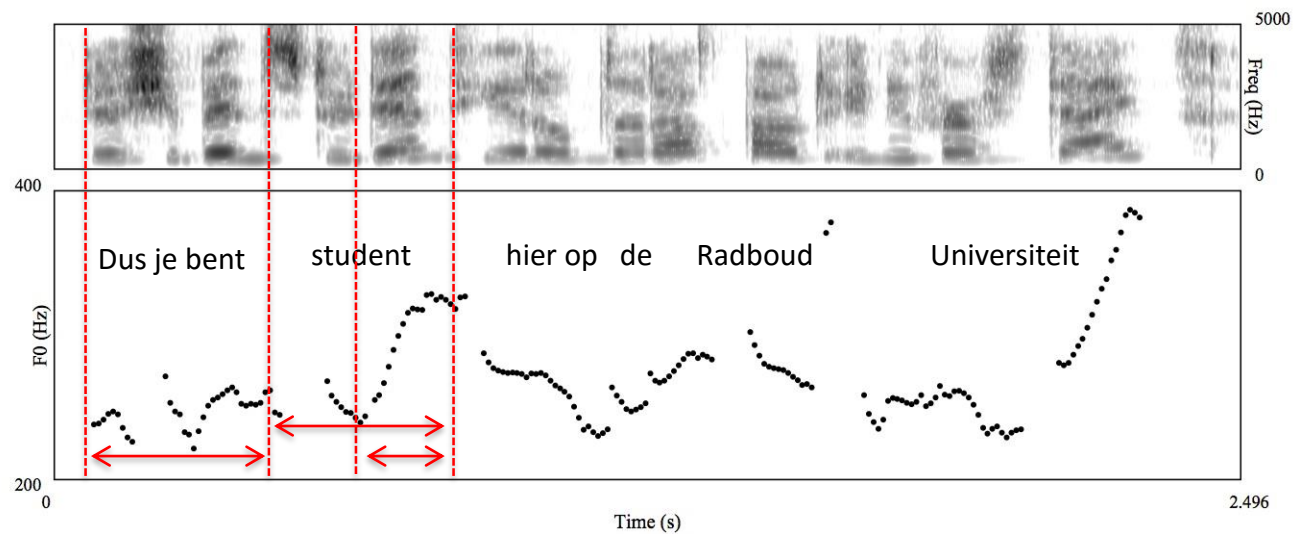
# Acoustic measurements

SHORT



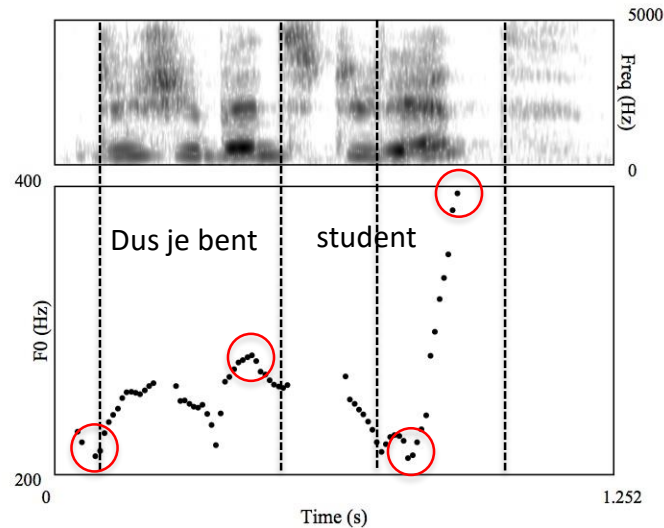
- Duration of final syllable
- Duration of final word
- Duration of preceding words

LONG



# Acoustic measurements

SHORT

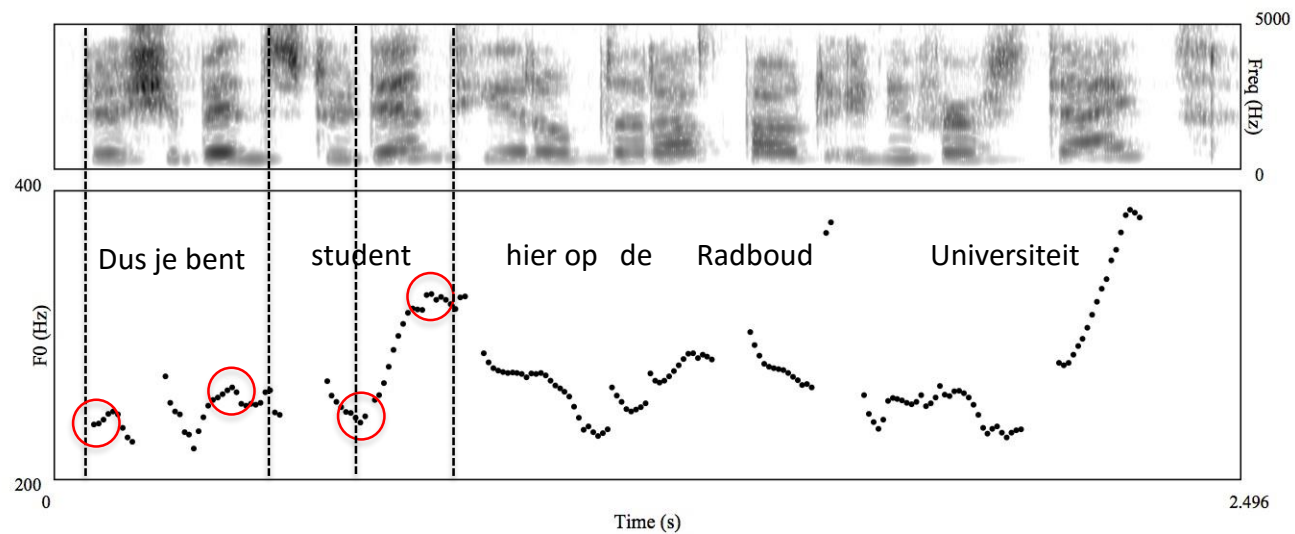


- Duration of final syllable
- Duration of final word
- Duration of preceding words

- F0 valleys

- F0 peaks

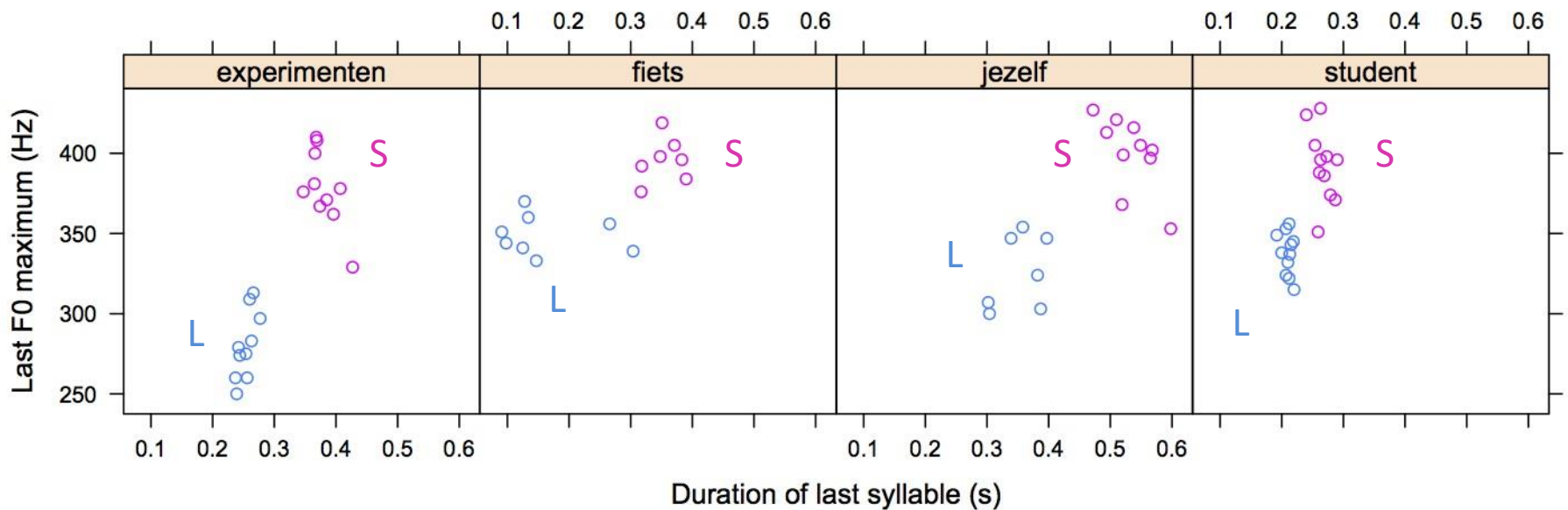
LONG





# Findings

- No consistent differences before 'final' word
- Clear differences in 'final' word:



# Experiment: Stimuli

Short Original

[Dus je bent student]<sub>IP</sub>

Long Original

[Dus je bent student op de Radboud Universiteit]<sub>IP</sub>

Short Cut All

[Dus je bent student...



Short Cut Final only

[Dus je bent student...

Long Spliced All

[Dus je bent student]<sub>IP</sub> op de Radboud Universiteit]<sub>IP</sub>

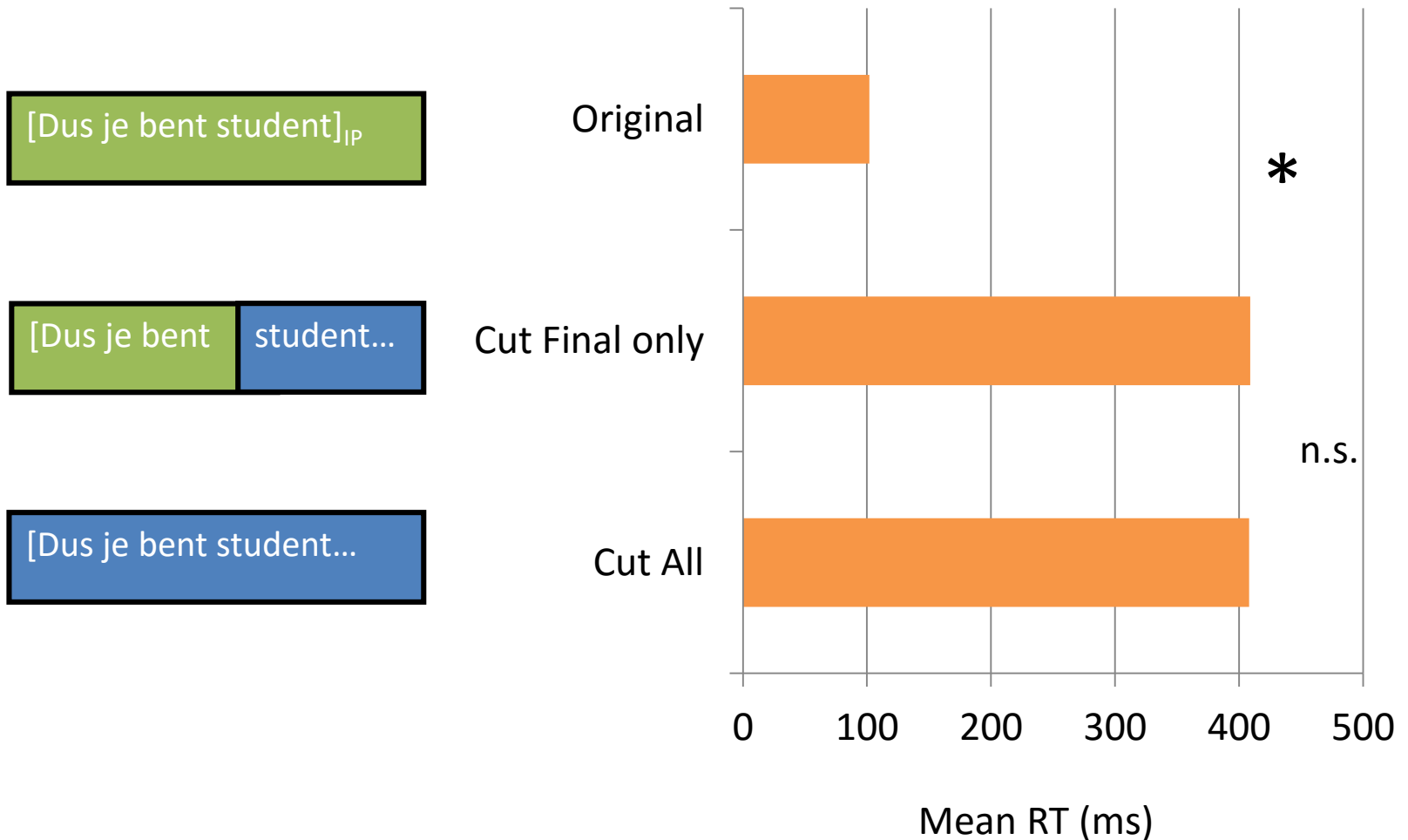


Long Spliced Final only

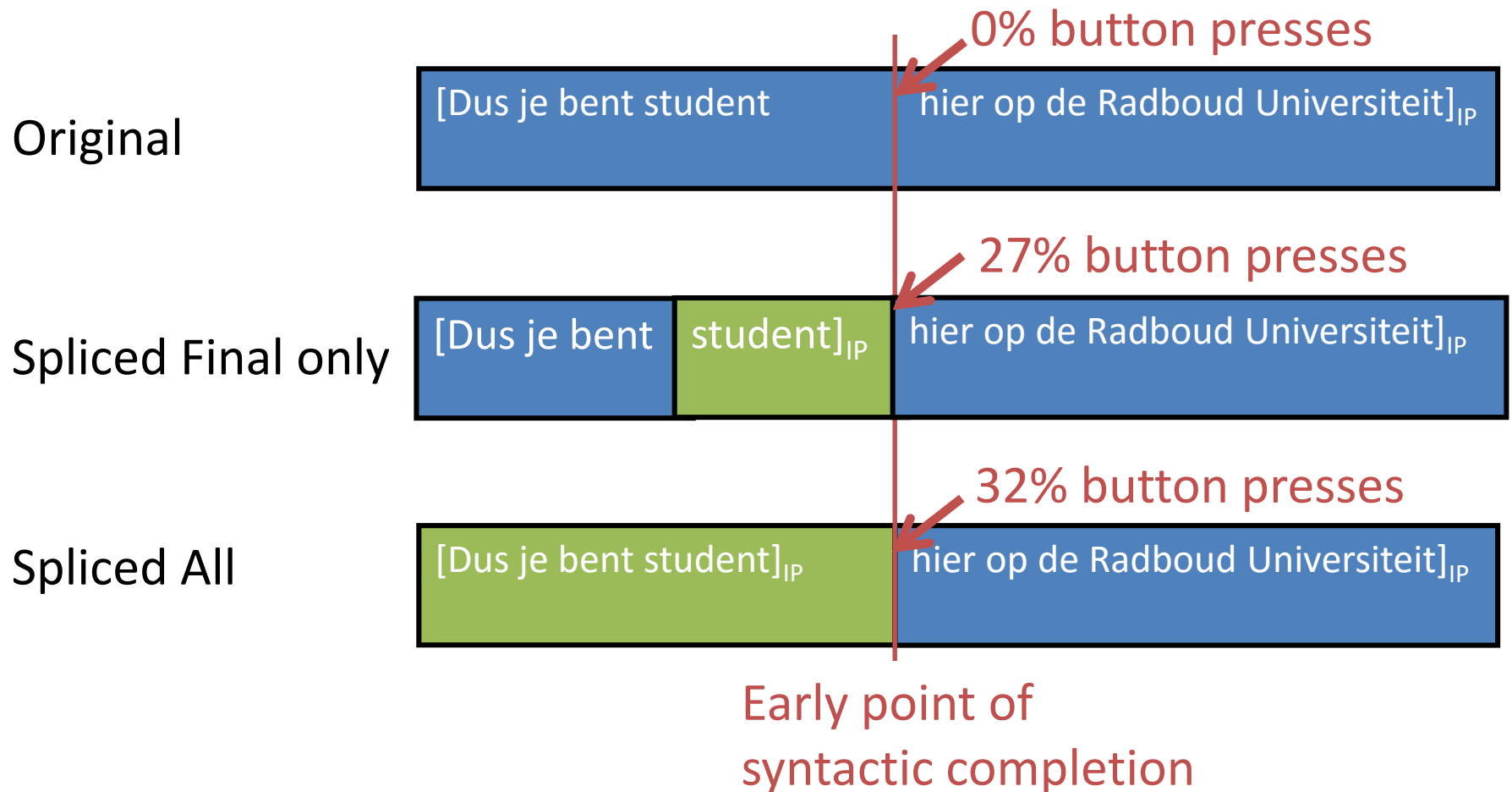
[Dus je bent student]<sub>IP</sub> op de Radboud Universiteit]<sub>IP</sub>

Only 2 manipulated items per participant

# Results: short stimuli



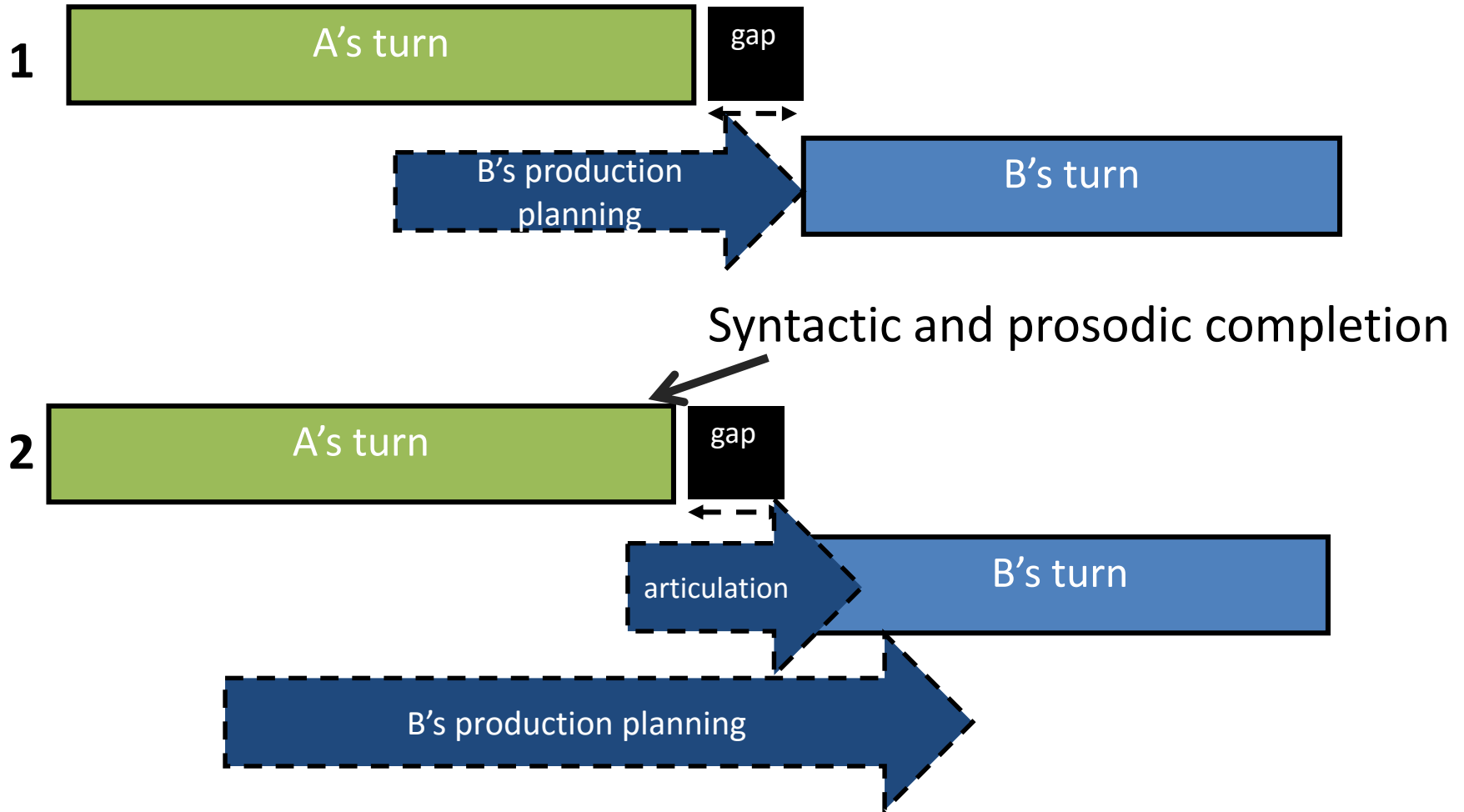
# Results: long stimuli



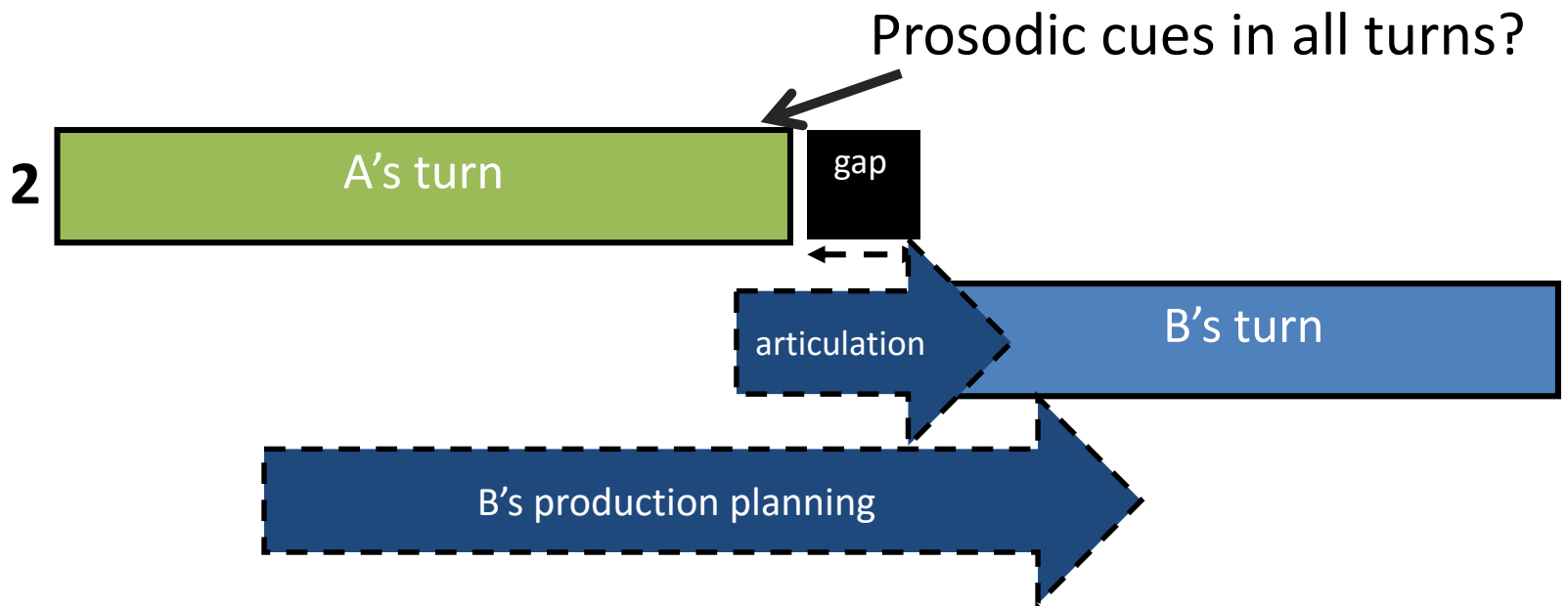
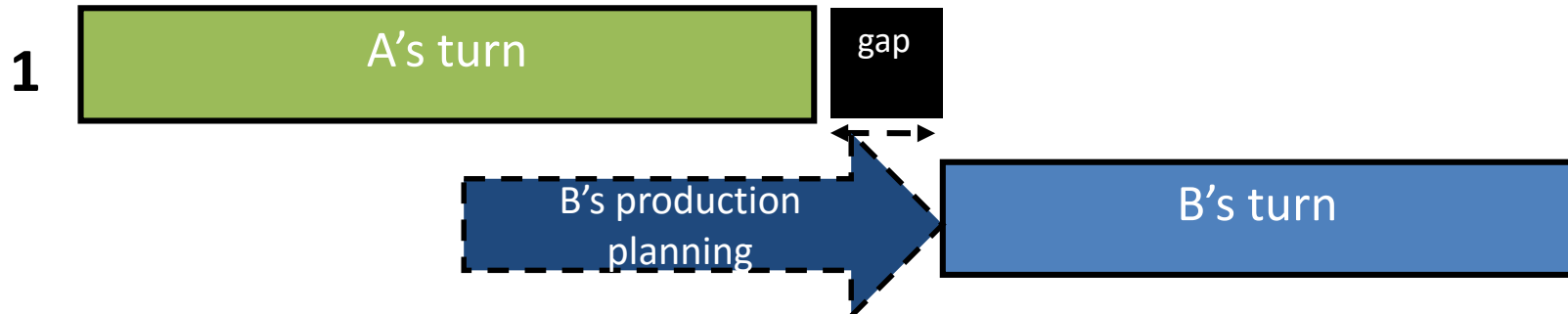
# Discussion

- Listeners use prosodic cues to determine turn-ends
  - late button-presses if no IPh boundary at the end
  - some button-presses if IPh boundary in the middle
- Final cues appear most important
  - acoustic analyses
  - same effects if only last word was manipulated

# Use of final prosodic cues



# Generalizability



# Methods

## Materials

- 96 turns from spontaneous telephone calls (no overlap)
- Naïve readers identified plausible points of syntactic completion
  - See transcripts of turns word-by-word (1 s.)
  - Task: press button when see last word

=> 35 *early plausible syntactic completion points*:

10+/24 readers thought this was the last word



# Prosodic analysis

Annotation of prosodic cues at these points:

- Sentence accent
- Salient final lengthening
- Salient phrase-final pitch movement

Cues are not independent

- When no accent: no melody & no lengthening (2)

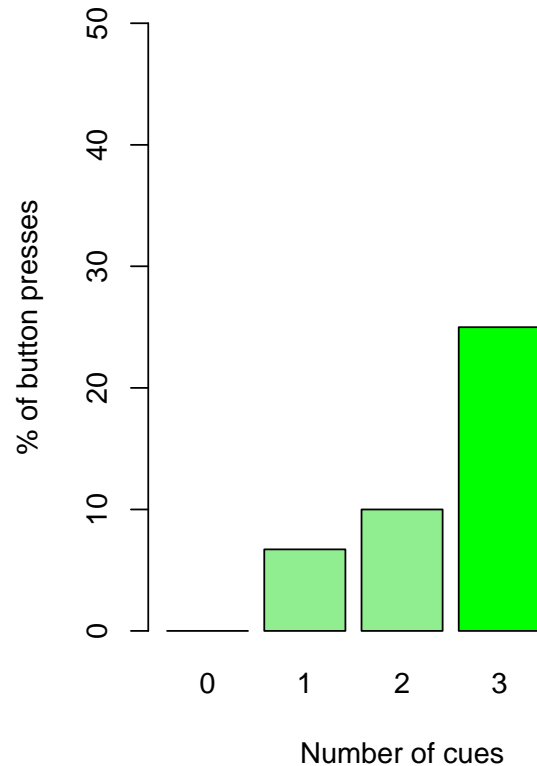
# Experiment

## Auditory task

- Button-press
- All non-manipulated turns

# Number of cues

Window [-250, 250 ms] around plausible points of syntactic completion => how many button-presses?

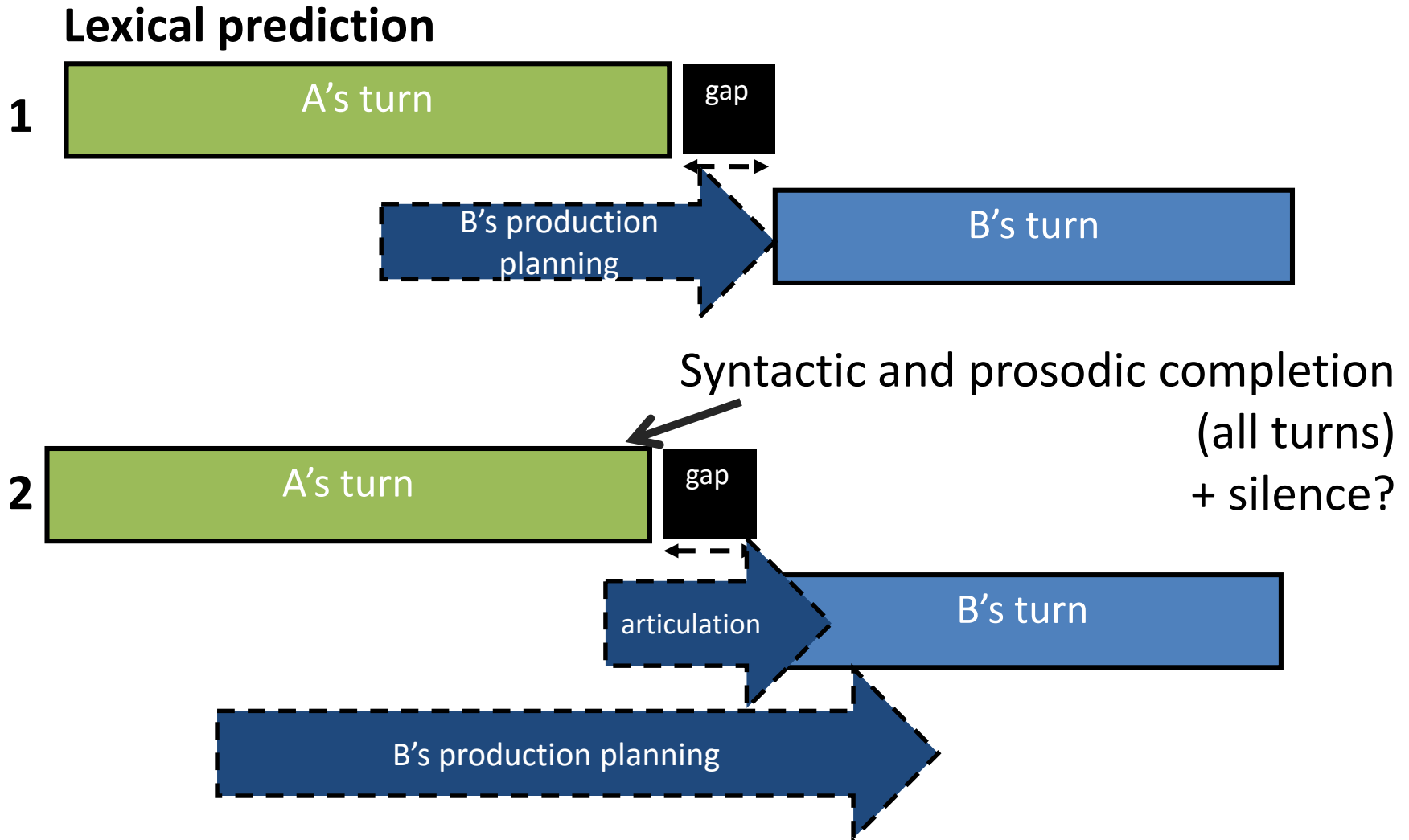


More button-presses if more prosodic cues are present ( $p < .001$ )

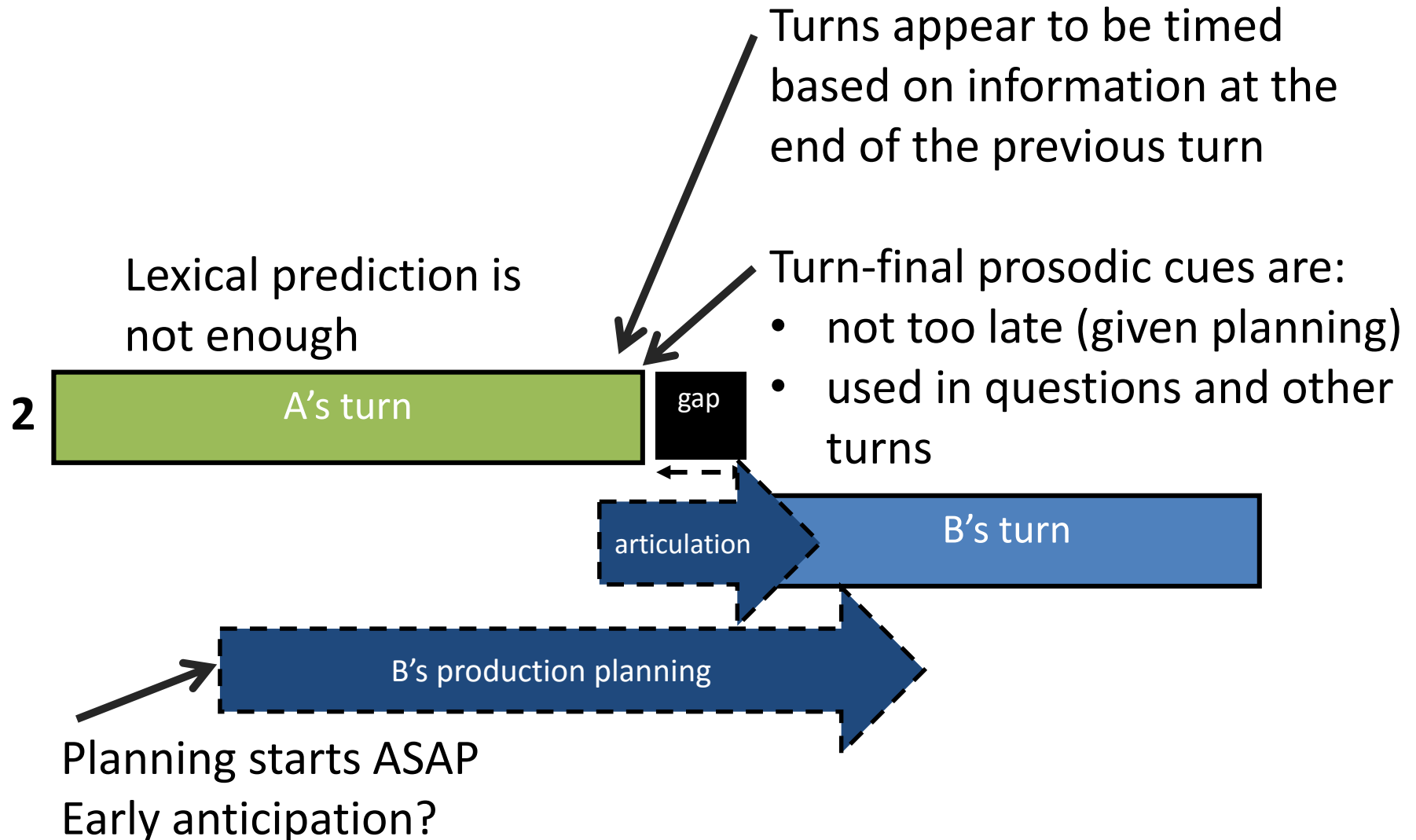
# Conclusions

- Plausible early syntactic completion points are abundant (36%)
  - Not all also prosodically complete!
- Prosodic cues – not syntactic completion alone – predict anticipatory button-presses
- Still < 30% button-presses even with all cues
  - Silence used some of the time?
  - Anticipation + inhibition?

# Prosodic cues are used



# Conclusions turn-taking



# Some future directions

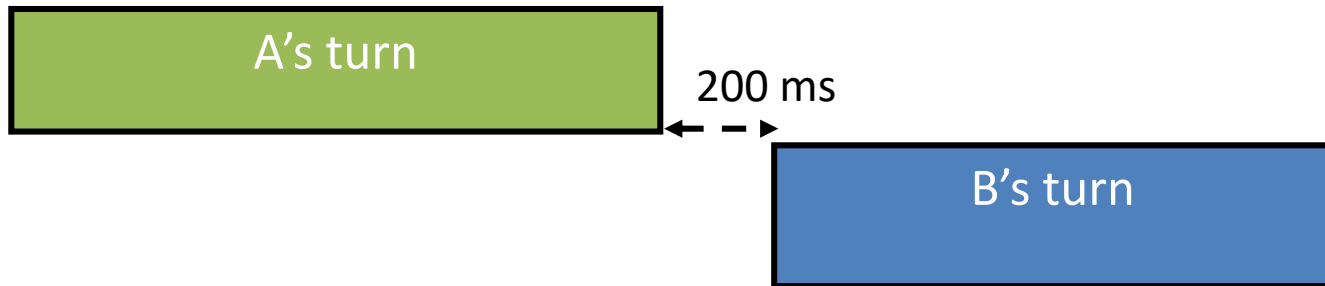
- Early planning in overlap with listening?
  - Preliminary evidence for trade-off between comprehension and production planning
- More natural task: button-press => answer
- Importance of different final cues: strength
- Turn-keeping cues, e.g.
  - Rush-through
  - Hesitation

# Turn-taking & Silence





# Long silences



Some gaps are long. Why?

e.g., *dispreferred* responses

A: *I could come to you right now?*

B: *Sure.* 'accepting' (preferred)

B': *Well, I have to work.* 'declining' (dispreferred)

# Silence & anticipation

Off-line experiments e.g., Roberts, Francis & Morgan (2006)

- Listen to request + positive response with different gap lengths
- Willingness to comply with a request judged smaller for longer silence

Online expectations affected by gap length?

⇒ EEG

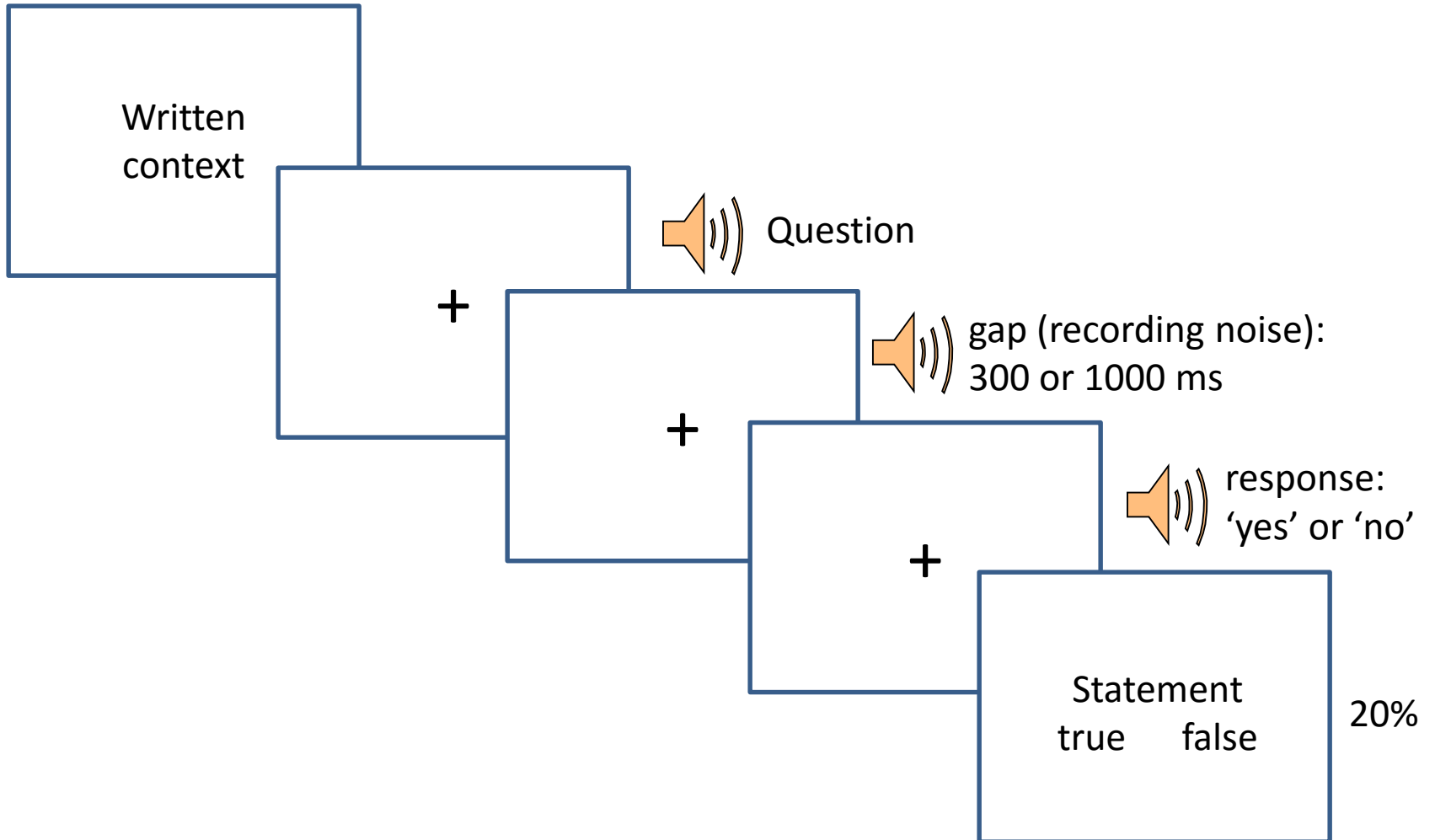
## Research Question

Do interlocutors anticipate the type of response (preferred or dispreferred) based on the duration of inter-turn silence alone?

# Methods

- Turns from a Dutch spoken corpus (CGN): telephone conversations between friends and acquaintances
- 120 questions: requests, invitations, proposals, and offers
- 60 responses from elsewhere in the corpus
  - preferred responses: *ja* ('yes')
  - dispreferred response: *nee* ('no')
- Two response timings
  - 300 ms gap
  - 1000 ms gap

# Procedure

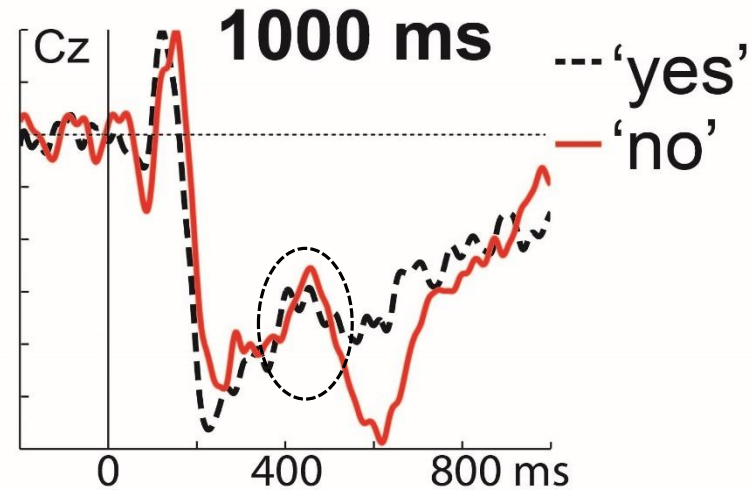
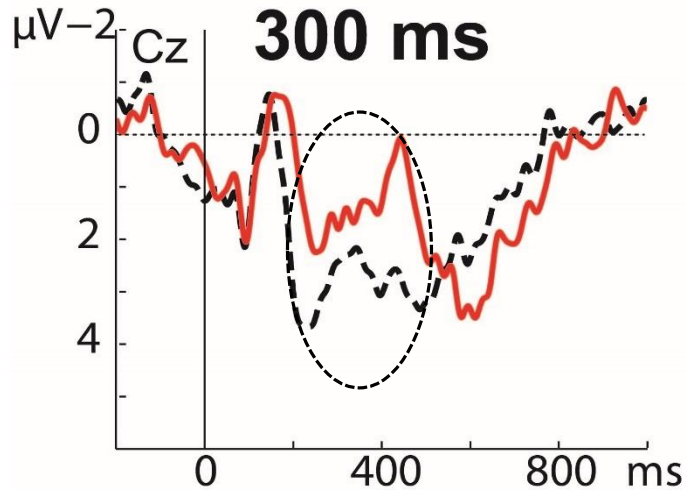


# EEG Hypotheses

N400: smaller for expected words e.g., Kutas (1980)

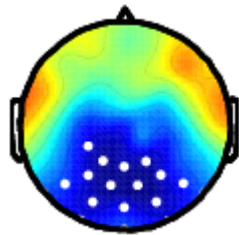
- 300 ms gap: 'yes' is more expected than 'no' => N400 for 'no' vs. 'yes'
- 1000 ms gap: N400 disappears or even flips?

# Results: N400



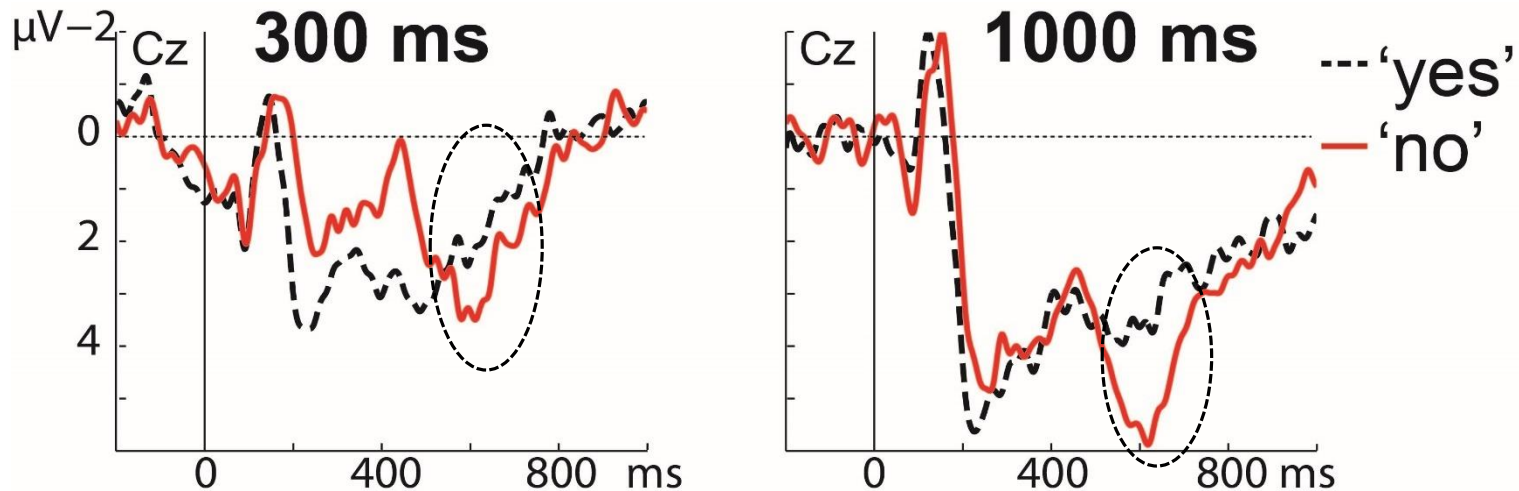
Timing by Response type Interaction for N400

N400 for 'no'                      no N400

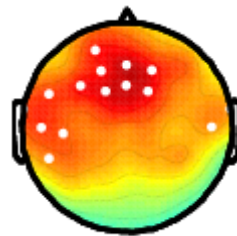


300-500 ms

# Results: late positivity



No Timing by Response type interaction after 500 ms  
Late positivity for 'no' irrespective of timing



500-800 ms

# Discussion N400

- After 300 ms gap: larger N400 for 'no' => dispreferred is less expected than preferred after a short gap
- After 1000 ms gap: same N400 for 'yes' and 'no' => expectations converge

⇒ Mere silence changes expectations: expectations for preferred and dispreferred response converge

Why does 'no' not become *more* expected?

1. Dispreferreds often qualified or mitigated (e.g., *well, maybe*)  
=> dispreferred more expected than preferred but not a flat 'no'
2. General normative expectation for preferred over dispreferred  
+ expectation for dispreferred after long gap based on frequencies  
= no effect?



# Discussion positivity

- Late positivity for 'no' *irrespective* of response timing
  - Dispreferred responses are socially accountable actions => require explanations (Garfinkel, 1967; Heritage, 1984)
  - A flat 'no' might require more analysis or extra processing to understand (e.g., a search for an account)
    - cf. positivity to social norm violations (e.g., Leuthold et al., 2015)
- ⇒ No-responses are socially disaffiliative

## Future direction

- Create positive/negative context: when is the gap too long?

# References (1)

- Bögels, S., Kendrick, K. H., & Levinson, S. C. (2015). Never Say No... How the Brain Interprets the Pregnant Pause in Conversation. *PloS one*, 10(12), e0145474.
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Thank you!

